

# Investigation on spectrum of the adjacency matrix and Laplacian matrix of graph $\mathcal{G}_l$

SHUHUA YIN

Computer Science and Information Technology College  
 Zhejiang Wanli University  
 Ningbo 315100,  
 PEOPLE'S REPUBLIC OF CHINA

*Abstract:* Let  $\mathcal{G}_l$  be the graph obtained from  $K_l$  by adhering the root of isomorphic trees  $\mathcal{T}$  to every vertex of  $K_l$ , and  $d_{k-j+1}$  be the degree of vertices in the level  $j$ . In this paper we study the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$  and the Laplacian matrix  $L(\mathcal{G}_l)$  for all positive integer  $l$ , and give some results about the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$  and the Laplacian matrix  $L(\mathcal{G}_l)$ . By using these results, an upper bound for the largest eigenvalue of the adjacency matrix  $A(\mathcal{G}_l)$  is obtained:

$$\lambda_1(A(\mathcal{G}_l)) < \max\left\{\max_{2 \leq j \leq k-2} \{\sqrt{d_j - 1} + \sqrt{d_{j+1} - 1}\}, \sqrt{d_{k-1} - 1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + l - 1\right\},$$

and an upper bound for the largest eigenvalue of the Laplacian matrix  $L(\mathcal{G}_l)$  is also obtained:

$$\mu_1(L(\mathcal{G}_l)) < \max\left\{\max_{2 \leq j \leq k-2} \{\sqrt{d_j - 1} + d_j + \sqrt{d_{j+1} - 1}\}, \sqrt{d_{k-1} - 1} + d_{k-1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + d_k + 1\right\}.$$

*Key-Words:* Adjacency matrix, Laplacian matrix, complete graph, spectrum

## 1 Introduction

Let  $G$  be a simple undirected graph with vertex set  $V = \{v_1, v_2, \dots, v_n\}$ , which  $n = |V|$ . Let  $A(G)$  be a  $(0, 1)$ -adjacency matrix of  $G$ . Since  $A(G)$  is a real symmetric matrix, all of its eigenvalues are real. Without loss of generality, that they are ordered in non-increasing order, i.e.,

$$\lambda_1(G) \geq \lambda_2(G) \geq \dots \geq \lambda_n(G), \tag{1}$$

and call them the spectrum of  $G$ . The largest eigenvalue  $\lambda_1(G)$  is called the spectral radius of  $G$ .

If the distinct eigenvalues of  $A(G)$  are

$$\lambda_1(G) > \lambda_2(G) > \dots > \lambda_s(G),$$

and their multiplicities are

$$m(\lambda_1), m(\lambda_2), \dots, m(\lambda_s),$$

then we shall write

$$SpecA(G) = \begin{pmatrix} \lambda_1(G) & \lambda_2(G) & \dots & \lambda_s(G) \\ m(\lambda_1) & m(\lambda_2) & \dots & m(\lambda_s) \end{pmatrix}.$$

For example, the complete graph  $K_n$  has  $n$  vertices, and each distinct pair are adjacent. Thus, the graph  $K_4$  has adjacency matrix

$$A(K_4) = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix},$$

and an easy calculation shows that that spectrum of  $K_4$  is:

$$SpecA(K_4) = \begin{pmatrix} 3 & -1 \\ 1 & 3 \end{pmatrix}$$

About the spectrum and the spectral radius of graphs, a great deal of investigation is carried out [1][2][3]. Specially, to the special graphs, for example [4] studied the spectral radius of bicyclic graphs with  $n$  vertices and diameter  $d$ , [5] studied the spectral radius of trees with fixed diameter. In [6] V. Nikiforov proved that if  $G$  is a graph of order  $n \geq 2$ , maximum degree  $\Delta$ , and girth at least 5, then

$$\lambda_1(G) \leq \min\{\Delta, \sqrt{n-1}\},$$

where  $\lambda_1(G)$  is the largest eigenvalue of the adjacency matrix of  $G$ .

In [7] X.D.Zhang proved

$$\lambda_1(G) < \Delta - \frac{2\Delta - 1 - 2\sqrt{\Delta(\Delta - 1)}}{n(n - 1)\Delta},$$

where  $G$  be a simple connected non-regular graph of order  $n$  and  $\Delta$  be the maximum degree of  $G$ .

Let  $d(v_i)$  denote the degree of  $v_i \in V, i = 1, 2, \dots, n$ , and let

$$D(G) = \text{diag}(d(v_1), d(v_2), \dots, d(v_n))$$

be the diagonal matrix of vertex degrees. The Laplacian matrix of  $G$  is  $L(G) = D(G) - A(G)$ . Clearly,  $L(G)$  is a real symmetric matrix. From this fact and Geršgorin's Theorem, it follows that its eigenvalues are nonnegative real numbers. Therefore, the eigenvalues of  $L(G)$ , which are call the Laplacian eigenvalue of  $G$ , can be denote by

$$\mu_1(G) \geq \mu_2(G) \geq \dots \geq \mu_n(G) = 0.$$

We call  $\mu_1(G)$  the Laplacian spectral radius of  $G$ .

If the destine eigenvalues of  $L(G)$  are

$$\mu_1(G) > \mu_2(G) > \dots > \mu_s(G),$$

and their muliplicities are

$$m(\mu_1), m(\mu_2), \dots, m(\mu_s),$$

then we shall write

$$\text{Spec}L(G) = \begin{pmatrix} \mu_1(G) & \mu_2(G) & \dots & \mu_s(G) \\ m(\mu_1) & m(\mu_2) & \dots & m(\mu_s) \end{pmatrix}.$$

For the Laplacian eigenvalues and the Laplacian spectral radius of simple graphs, there are many good results. In [8], some of the many results known for Laplacian matrices are given. Fiedler [9] proved that  $G$  is a connected graph if and only if the second smallest eigenvalue of  $L(G)$  is positive. This eigenvalue is call the algebraic connectivity of  $G$ , denoted by  $\alpha(G)$ . In [10] Li and Pan proved the following result:

Let  $G$  be a simple connected graph with  $n$  vertices and  $m$  edges. Denote by  $\Delta, \delta$  the largest and smallest degrees of vertices in  $G$ . Then

$$\mu_1(G) \leq \sqrt{2\Delta^2 + 4m - 2\delta(n - 1) + 2\Delta(\delta - 1)}$$

In [11], Shu,Hong and Wenren proved a sharp upper bound as follows:

$$\mu_1(G) \leq d_n + \frac{1}{2} + \sqrt{(d_n - \frac{1}{2})^2 + \sum_{i=1}^n d_i(d_i - d_n)}.$$

where  $d_1 \geq d_2 \geq \dots \geq d_n$  is the degree sequence of  $G$ .

## 2 Preliminaries

Let  $\mathcal{T}$  be an unweighted rooted tree of  $k$  levels such that in each level the vertices have equal degree.  $K_l$  be a complete graph on  $l$  vertices. Let  $\mathcal{G}_l$  be the graph obtained from  $K_l$  by adhering the root of isomorphic trees  $\mathcal{T}$  to every vertex of  $K_l$ . Similar to the definition of tree's level, we agree that the complete graph  $K_l$  is at level 1, and that  $\mathcal{G}_l$  has  $k$  levels. Thus the vertices in the level  $k$  have degree 1.

For  $j = 1, 2, 3, \dots, k$ , let  $n_{k-j+1}$  and  $d_{k-j+1}$  be the number of vertices and the degree of them in the level  $j$ . Observe that  $n_k = l$  is the number of vertices in level 1 and  $n_1$  the number of vertices in level  $k$ (the number of pendant vertices). Then,

$$n_{k-1} = (d_k - l + 1)n_k,$$

$$n_{k-j} = (d_{k-j+1} - 1)n_{k-j+1}, j = 2, 3, \dots, k - 1.$$

Observe that  $d_k$  is the degree of vertices of the complete graph  $K_l$  in  $\mathcal{G}_l$ ,  $d_1$  is the degree of the vertices in the level  $k$ ,  $n_k = l$ . The total number of vertices in the graph  $\mathcal{G}_l$  is

$$n = \sum_{j=1}^{k-1} n_j + l.$$

**Example 2.1** Follow (Fig.1) is an example of a such graph  $\mathcal{G}_4$  for  $k = 3, n_1 = 24, n_2 = 8, n_3 = 4, d_1 = 1, d_2 = 4, d_3 = 5$ .

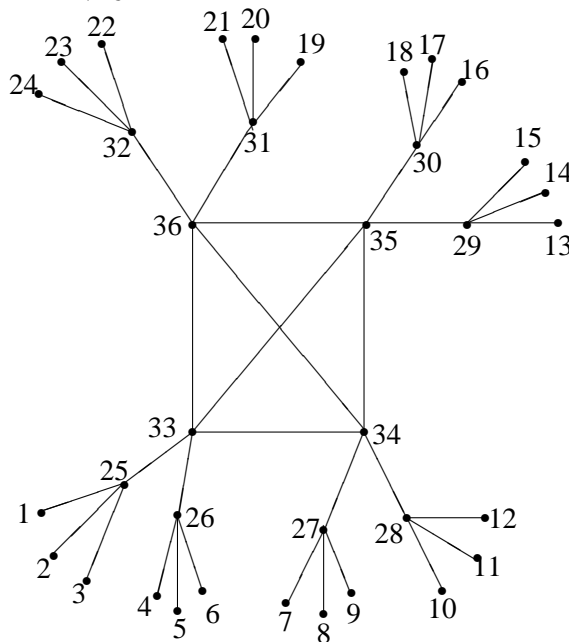


Fig.1 graph  $\mathcal{G}_4$

In general, using the labels  $n, n - 1, \dots, 1$ , in this order, our labeling for the vertices of  $\mathcal{G}_l$  is:

(1) First, we label the vertices of  $K_l$  with clockwise direction.

(2) For one of vertices of level  $j(j = 1, 2, \dots, k - 1)$ , the bigger its labeling is, then the vertex of level  $j + 1$  adjacent to it should be labeled first.

(3) Label from level 1 to level  $k$  in turn.

[12], [13] studied the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$  and the eigenvalues of Laplacian matrix  $L(\mathcal{G}_l)$  for case  $l = 1$  and  $l = 2$  respectively. In this paper we will study the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$  and the eigenvalues of Laplacian matrix  $L(\mathcal{G}_l)$  for all positive integer  $l$ .

We introduce the following notations:

(1)  $\mathbf{0}$  is the all zeros matrix, the order of  $\mathbf{0}$  will be clear from the context in which it is used.

(2)  $\mathbf{I}_m$  is the identity matrix of order  $m \times m$ .

(3)  $m_j = \frac{n_j}{n_{j+1}}$ , for  $j = 1, 2, \dots, k - 1$ .

(4)  $\mathbf{e}_m$  is the all ones column vector of dimension  $m$ .

For  $j = 1, 2, \dots, k - 1$ ,  $C_j$  is the block diagonal matrix

$$C_j = \begin{pmatrix} \mathbf{e}_{m_j} & & & \\ & \mathbf{e}_{m_j} & & \\ & & \ddots & \\ & & & \mathbf{e}_{m_j} \end{pmatrix}$$

with  $n_{j+1}$  diagonal blocks. Thus, the order of  $C_j$  is  $n_j \times n_{j+1}$ .

For example we use these notation with the graph  $\mathcal{G}_4$  in Fig.1  $m_1 = \frac{n_1}{n_2} = 3, m_2 = \frac{n_2}{n_3} = 2$ , then

$$C_1 = \text{diag}\{\mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3, \mathbf{e}_3\},$$

$$C_2 = \text{diag}\{\mathbf{e}_2, \mathbf{e}_2, \mathbf{e}_2, \mathbf{e}_2\},$$

The adjacency matrix  $A(\mathcal{G}_4)$  in Fig.1 become

$$A(\mathcal{G}_4) = \begin{pmatrix} \mathbf{0} & C_1 & \mathbf{0} \\ C_1^T & \mathbf{0} & C_2 \\ \mathbf{0} & C_2^T & B_4 \end{pmatrix},$$

where  $B_4 = \begin{pmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$  and

$$L(\mathcal{G}_4) = \begin{pmatrix} d_1 \mathbf{I}_{24} & -C_1 & \mathbf{0} \\ -C_1^T & d_2 \mathbf{I}_8 & -C_2 \\ \mathbf{0} & -C_2^T & U_4 \end{pmatrix},$$

where

$$U_4 = d_3 \mathbf{I}_4 - B_4 = \begin{pmatrix} 5 & -1 & -1 & -1 \\ -1 & 5 & -1 & -1 \\ -1 & -1 & 5 & -1 \\ -1 & -1 & -1 & 5 \end{pmatrix}.$$

In general, our labeling yields to

$$A(\mathcal{G}_l) = \begin{pmatrix} \mathbf{0} & C_1 & & & \\ C_1^T & \mathbf{0} & C_2 & & \\ & C_2^T & \ddots & \ddots & \\ & & \ddots & \ddots & \ddots \\ & & & \ddots & C_{k-1} \\ & & & & C_{k-1}^T & B_l \end{pmatrix},$$

where  $B_l = \begin{pmatrix} 0 & 1 & 1 & \dots & 1 \\ 1 & 0 & 1 & \dots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & \dots & 0 \end{pmatrix}.$

$$L(\mathcal{G}_l) = \begin{pmatrix} d_1 \mathbf{I}_{n_1} & -C_1 & & & \\ -C_1^T & d_2 \mathbf{I}_{n_2} & -C_2 & & \\ & -C_2^T & \ddots & \ddots & \\ & & \ddots & \ddots & \ddots \\ & & & \ddots & d_{k-1} \mathbf{I}_{n_{k-1}} & -C_{k-1} \\ & & & & -C_{k-1}^T & U_l \end{pmatrix},$$

where

$$U_l = d_k \mathbf{I}_{n_k} - B_l = \begin{pmatrix} d_k & -1 & -1 & \dots & -1 \\ -1 & d_k & -1 & \dots & -1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -1 & -1 & -1 & \dots & d_k \end{pmatrix}.$$

Apply the Gaussian elimination procedure we obtained the following lemma:

**Lemma 2.1** Let  $M =$

$$\begin{pmatrix} \alpha_1 \mathbf{I}_{n_1} & C_1 & & & \\ C_1^T & \alpha_2 \mathbf{I}_{n_2} & C_2 & & \\ & C_2^T & \ddots & \ddots & \\ & & \ddots & \ddots & \ddots \\ & & & \ddots & \alpha_{k-1} \mathbf{I}_{n_{k-1}} & C_{k-1} \\ & & & & C_{k-1}^T & \alpha_k \mathbf{I}_{n_k} + B_l \end{pmatrix},$$

let

$$\beta_1 = \alpha_1$$

and

$$\beta_j = \alpha_j - \frac{n_{j-1}}{n_j} \frac{1}{\beta_{j-1}}, j = 2, 3, \dots, k, \beta_{j-1} \neq 0.$$

If  $\beta_j \neq 0$  for all  $j = 1, 2, \dots, k - 1$ , then

$$\det M = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} \times (\beta_k + l - 1)(\beta_k - 1)^{l-1}. \quad (2)$$

**Proof.** Apply the Gaussian elimination procedure, without row interchanges, to  $M$  to obtain the block upper triangular matrix

$$\begin{pmatrix} \beta_1 \mathbf{I}_{n_1} & C_1 & & & & \\ & \beta_2 \mathbf{I}_{n_2} & C_2 & & & \\ & & \beta_3 \mathbf{I}_{n_3} & \ddots & & \\ & & & \ddots & & \\ & & & & \beta_{k-1} \mathbf{I}_{n_{k-1}} & C_{k-1} \\ & & & & & \beta_k \mathbf{I}_{n_k} + B_l \end{pmatrix}.$$

Hence,

$$\det M = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} \det(\beta_k \mathbf{I}_{n_k} + B_l).$$

Since

$$\det(\lambda \mathbf{I} - B_l) = (\lambda - l + 1)(\lambda + 1)^{l-1},$$

so

$$\begin{aligned} \det(\beta_k \mathbf{I}_{n_k} + B_l) &= (-1)^l \det(-\beta_k \mathbf{I}_{n_k} - B_l) \\ &= (\beta_k + l - 1)(\beta_k - 1)^{l-1}. \end{aligned}$$

Then

$$\det M = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} (\beta_k + l - 1)(\beta_k - 1)^{l-1}.$$

Thus, (2) is proved. #

**Lemma 2.2** Let  $M_0 =$

$$\begin{pmatrix} \alpha_1 \mathbf{I}_{n_1} & -C_1 & & & & \\ -C_1^T & \alpha_2 \mathbf{I}_{n_2} & -C_2 & & & \\ & -C_2^T & \ddots & \ddots & & \\ & & \ddots & \ddots & \ddots & \\ & & & \ddots & \alpha_{k-1} \mathbf{I}_{n_{k-1}} & -C_{k-1} \\ & & & & -C_{k-1}^T & \alpha_k \mathbf{I}_{n_k} - B_l \end{pmatrix},$$

let

$$\beta_1 = \alpha_1$$

and

$$\beta_j = \alpha_j - \frac{n_{j-1}}{n_j} \frac{1}{\beta_{j-1}}, j = 2, 3, \dots, k, \beta_{j-1} \neq 0.$$

If  $\beta_j \neq 0$  for all  $j = 1, 2, \dots, k - 1$ , then

$$\det M_0 = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} \times (\beta_k - l + 1)(\beta_k + 1)^{l-1}. \quad (3)$$

**Proof.** Apply the Gaussian elimination procedure, without row interchanges, to  $M_0$  to obtain the block upper triangular matrix

$$\begin{pmatrix} \beta_1 \mathbf{I}_{n_1} & -C_1 & & & & \\ & \beta_2 \mathbf{I}_{n_2} & -C_2 & & & \\ & & \beta_3 \mathbf{I}_{n_3} & \ddots & & \\ & & & \ddots & & \\ & & & & \beta_{k-1} \mathbf{I}_{n_{k-1}} & -C_{k-1} \\ & & & & & \beta_k \mathbf{I}_{n_k} - B_l \end{pmatrix}.$$

Hence,

$$\det M_0 = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} \det(\beta_k \mathbf{I}_{n_k} - B_l).$$

Since

$$\det(\lambda \mathbf{I} - B_l) = (\lambda - l + 1)(\lambda + 1)^{l-1},$$

so

$$\det(\beta_k \mathbf{I}_{n_k} - B_l) = (\beta_k - l + 1)(\beta_k + 1)^{l-1}.$$

Then

$$\det M_0 = \beta_1^{n_1} \beta_2^{n_2} \dots \beta_{k-1}^{n_{k-1}} (\beta_k - l + 1)(\beta_k + 1)^{l-1}.$$

Thus, (3) is proved. #

### 3 The spectrum of the adjacency matrix and the Laplacian matrix of $\mathcal{G}_l$

In this section we will apply Lemma 3.1, Lemma 3.2 and Lemma 3.3 to study the spectrum of the adjacency matrix and the Laplacian matrix of  $\mathcal{G}_l$

**Lemma 3.1**[14] Let  $H$  be  $k \times k$  symmetric tridiagonal matrix:

$$H = \begin{pmatrix} a_1 & b_1 & & & & \\ b_1 & a_2 & b_2 & & & \\ & b_2 & \ddots & \ddots & & \\ & & \ddots & \ddots & \ddots & \\ & & & \ddots & a_{k-1} & b_{k-1} \\ & & & & b_{k-1} & a_k \end{pmatrix},$$

and  $Q_j(\lambda) (j = 0, 1, 2, \dots, k)$  be the characteristic polynomials of the  $j \times j$  leading principal submatrix of matrix  $H$ . Then

$$\begin{aligned} Q_0(\lambda) &= 1, \\ Q_1(\lambda) &= \lambda - a_1, \\ Q_j(\lambda) &= (\lambda - a_j)Q_{j-1}(\lambda) - b_{j-1}^2 Q_{j-2}(\lambda), \quad (4) \\ &\quad (j = 2, 3, \dots, k). \end{aligned}$$

**Lemma 3.2**[14] Let  $H$  and  $Q_j(\lambda) (j = 0, 1, 2, \dots, k)$  be matrix as in Lemma 3.1, then all roots  $\lambda_i^{(j)} (i = 1, 2, \dots, j)$  of  $Q_j (j = 0, 1, 2, \dots, k)$  are real and simple:

$$\lambda_1^{(j)} > \lambda_2^{(j)} > \dots > \lambda_j^{(j)},$$

and the roots of  $Q_{j-1}$  and  $Q_j$ , respectively, separate each other strictly:

$$\lambda_1^{(j)} > \lambda_1^{(j-1)} > \lambda_2^{(j)} > \lambda_2^{(j-1)} > \dots > \lambda_{j-1}^{(j-1)} > \lambda_j^{(j)}.$$

**Lemma 3.3**[15] Let  $A, B$  be  $n \times n$  Hermitian matrix. Assume that  $B$  is positive semidefinite and that the eigenvalues of  $A$  and  $A+B$  are arranged in decreasing order as in (1). Then

$$\lambda_i(A) \leq \lambda_i(A+B) \quad \text{for all } i = 1, 2, \dots, n.$$

### 3.1 The spectrum of the adjacency matrix of $\mathcal{G}_l$

Let

$$\phi = \{1, 2, \dots, k-1\}$$

and

$$\Omega = \{j \in \phi : n_j > n_{j+1}\}.$$

Observe that  $n_{k-j} = (d_{k-j+1} - 1)n_{k-j+1}$ ,  $j = 2, 3, \dots, k-1$  and  $n_{k-1} = (d_k - l + 1)n_k$ . Observe also that if  $j \in \phi - \Omega$  then  $n_j = n_{j+1}$  and  $C_j$  is the identity matrix of order  $n_j$ .

**Theorem 3.1.1** Let

$$S_0(\lambda) = 1, S_1(\lambda) = \lambda,$$

$$S_j(\lambda) = \lambda S_{j-1}(\lambda) - \frac{n_{j-1}}{n_j} S_{j-2}(\lambda), \quad \text{for } j = 2, 3, \dots, k,$$

$$S_k^-(\lambda) = (\lambda + 1)S_{k-1}(\lambda) - \frac{n_{k-1}}{l} S_{k-2}(\lambda)$$

and

$$S_k^+(\lambda) = (\lambda - l + 1)S_{k-1}(\lambda) - \frac{n_{k-1}}{l} S_{k-2}(\lambda).$$

Then

(i) If  $S_j(\lambda) \neq 0$ , for  $j = 1, 2, \dots, k-1$ , then

$$\det(\lambda \mathbf{I} - A(\mathcal{G}_l)) = (S_k^-(\lambda))^{l-1} S_k^+(\lambda) \times \prod_{j \in \Omega} S_j^{n_j - n_{j+1}}(\lambda). \quad (5)$$

(ii) The spectrum of  $A(\mathcal{G}_l)$  is  $\sigma(A(\mathcal{G}_l)) = (\cup_{j \in \Omega} \{\lambda : S_j(\lambda) = 0\}) \cup \{\lambda : S_k^-(\lambda) = 0\} \cup \{\lambda : S_k^+(\lambda) = 0\}$ .

**Proof.** Suppose  $S_j(\lambda) \neq 0$  for all  $j = 1, 2, \dots, k-1$ . We apply Lemma 2.2 to  $M_0 = \lambda \mathbf{I} - A(\mathcal{G}_l)$ .

$$M_0 = \lambda \mathbf{I} - A(\mathcal{G}_l) =$$

$$\begin{pmatrix} \lambda \mathbf{I}_{n_1} & -C_1 & & & & & & & & & \\ -C_1^T & \lambda \mathbf{I}_{n_2} & -C_2 & & & & & & & & \\ & -C_2^T & \ddots & \ddots & & & & & & & \\ & & \ddots & \ddots & \ddots & & & & & & \\ & & & & \ddots & & \lambda \mathbf{I}_{n_{k-1}} & & -C_{k-1} & & \\ & & & & & & -C_{k-1}^T & \lambda \mathbf{I}_{n_k} & -B_l & & \end{pmatrix}.$$

We have

$$\beta_1 = \lambda = S_1(\lambda) \neq 0,$$

$$\begin{aligned} \beta_2 &= \lambda - \frac{n_1}{n_2} \frac{1}{\beta_1} \\ &= \lambda - \frac{n_1}{n_2} \frac{1}{S_1(\lambda)} \\ &= \frac{\lambda S_1(\lambda) - \frac{n_1}{n_2} S_0(\lambda)}{S_1(\lambda)} \\ &= \frac{S_2(\lambda)}{S_1(\lambda)} \neq 0. \end{aligned}$$

Similarly, for  $j = 3, 4, \dots, k-1, k$

$$\begin{aligned} \beta_j &= \lambda - \frac{n_{j-1}}{n_j} \frac{1}{\beta_{j-1}} \\ &= \lambda - \frac{n_{j-1}}{n_j} \frac{S_{j-2}(\lambda)}{S_{j-1}(\lambda)} \\ &= \frac{\lambda S_{j-1}(\lambda) - \frac{n_{j-1}}{n_j} S_{j-2}(\lambda)}{S_{j-1}(\lambda)} \\ &= \frac{S_j(\lambda)}{S_{j-1}(\lambda)} \neq 0. \end{aligned}$$

Thus

$$\begin{aligned} \beta_k + 1 &= \frac{S_k(\lambda)}{S_{k-1}(\lambda)} + 1 \\ &= \frac{S_k(\lambda) + S_{k-1}(\lambda)}{S_{k-1}(\lambda)} \\ &= \frac{(\lambda + 1)S_{k-1}(\lambda) - \frac{n_{k-1}}{l} S_{k-2}(\lambda)}{S_{k-1}(\lambda)} \\ &= \frac{S_k^-(\lambda)}{S_{k-1}(\lambda)}, \\ \beta_k - l + 1 &= \frac{S_k(\lambda)}{S_{k-1}(\lambda)} - l + 1 \\ &= \frac{S_k(\lambda) - (l-1)S_{k-1}(\lambda)}{S_{k-1}(\lambda)} \\ &= \frac{(\lambda - l + 1)S_{k-1}(\lambda) - \frac{n_{k-1}}{l} S_{k-2}(\lambda)}{S_{k-1}(\lambda)} \\ &= \frac{S_k^+(\lambda)}{S_{k-1}(\lambda)}. \end{aligned}$$

Therefore, from Lemma 2.2,

$$\begin{aligned} \det(\lambda \mathbf{I} - A(\mathcal{G}_l)) &= S_1^{n_1}(\lambda) \frac{S_2^{n_2}(\lambda)}{S_1^{n_2}(\lambda)} \cdots \frac{S_{k-1}^{n_{k-1}}(\lambda)}{S_{k-2}^{n_{k-1}}(\lambda)} \frac{S_k^+(\lambda)}{S_{k-1}(\lambda)} \frac{(S_k^-(\lambda))^{l-1}}{S_{k-1}^{l-1}(\lambda)} \\ &= S_1^{n_1 - n_2}(\lambda) S_2^{n_2 - n_3}(\lambda) \cdots S_{k-1}^{n_{k-1} - n_k}(\lambda) \\ &\quad \times S_k^+(\lambda) (S_k^-(\lambda))^{l-1} \\ &= (S_k^-(\lambda))^{l-1} S_k^+(\lambda) \prod_{j \in \Omega} S_j^{n_j - n_{j+1}}(\lambda). \end{aligned}$$

Thus (i) is proved. Similar to the proof in [13], we can get (ii) by (i). #

Let  $R_k^+$  and  $R_k^-$  be the  $k \times k$  symmetric tridiagonal matrices

$$R_k^+ = \begin{pmatrix} 0 & \sqrt{d_2-1} & & & \\ \sqrt{d_2-1} & 0 & \sqrt{d_3-1} & & \\ & \sqrt{d_3-1} & \ddots & \ddots & \\ & \sqrt{d_{k-1}-1} & 0 & \sqrt{d_k-l+1} & \\ & & \sqrt{d_k-l+1} & l-1 & \end{pmatrix}$$

and  $R_k^- =$

$$\begin{pmatrix} 0 & \sqrt{d_2-1} & & & \\ \sqrt{d_2-1} & 0 & \sqrt{d_3-1} & & \\ & \sqrt{d_3-1} & \ddots & \ddots & \\ & \sqrt{d_{k-1}-1} & 0 & \sqrt{d_k-l+1} & \\ & & \sqrt{d_k-l+1} & -1 & \end{pmatrix}$$

Observe that

$$R_k^+ = R_k^- + \text{diag}\{0, 0, \dots, 0, l\}.$$

**Theorem 3.1.2** For  $j = 1, 2, 3, \dots, k-1$ , let  $R_j$  be the  $j \times j$  leading principal submatrix  $R_k^+$ . Then

$$\begin{aligned} \det(\lambda \mathbf{I} - R_j) &= S_j(\lambda), j = 1, 2, \dots, k-1, \\ \det(\lambda \mathbf{I} - R_k^-) &= S_k^-(\lambda), \\ \det(\lambda \mathbf{I} - R_k^+) &= S_k^+(\lambda). \end{aligned}$$

**Proof.** We apply Lemma 3.1, in our case,  $a_1 = a_2 = \dots = a_{k-1} = 0, a_k = l-1$  (or  $a_k = -1$ ) and

$$b_{k-1} = \sqrt{\frac{n_{k-1}}{n_k}} = \sqrt{d_k - l + 1},$$

$$b_j = \sqrt{\frac{n_j}{n_{j+1}}} = \sqrt{d_{j+1} - 1}$$

for  $j = 1, 2, 3, \dots, k-2$ .

For these values, the recursion formula (4) gives the polynomials  $S_j(\lambda), j = 0, 1, 2, \dots, k-1, S_k^+(\lambda)$  and  $S_k^-(\lambda)$ .

This completes the proof. #

**Theorem 3.1.3** Let  $R_j, j = 1, 2, \dots, k-1, R_k^+$  and  $R_k^-$  as above. then

(i)  $\sigma(A(\mathcal{G}_l)) = (\cup_{j \in \Omega} \sigma(R_j)) \cup \sigma(R_k^+) \cup \sigma(R_k^-)$ .

(ii) The multiplicity of each eigenvalue of the matrix  $R_j$ , as an eigenvalue of  $A(\mathcal{G}_l)$ , is at least  $n_j - n_{j+1}$  for  $j \in \Omega, 1$  for the eigenvalues of  $R_k^+$  and  $l-1$  for the eigenvalues of  $R_k^-$ .

**Proof.** (i) is an immediate consequence of Theorem 3.1.1 and Theorem 3.1.2. From Lemma 3.2 that the eigenvalues of  $R_j, j = 1, 2, \dots, k-1, R_k^+$  and  $R_k^-$  are

simply. Finally, we use (5) and Theorem 3.1.2 to obtain(ii). #

**Theorem 3.1.4** Let  $A(\mathcal{G}_l)$  be the adjacency matrix of  $\mathcal{G}_l$ . Then

(a1)  $\sigma(R_{j-1}) \cap \sigma(R_j) = \phi$  for  $j = 2, 3, \dots, k-1$ .

(a2)  $\sigma(R_{k-1}) \cap \sigma(R_k^+) = \phi$  and  $\sigma(R_{k-1}) \cap \sigma(R_k^-) = \phi$ .

(a3) The largest eigenvalue of  $R_k^+$  is the largest eigenvalue of  $A(\mathcal{G}_l)$  and the largest eigenvalue of  $R_k^-$  is the second largest eigenvalue of  $A(\mathcal{G}_l)$ .

**Proof.** (a1) and (a2) follow from Lemma 3.2.

By Lemma 3.3 and

$$R_k^+ = R_k^- + \text{diag}\{0, 0, \dots, 0, l\},$$

we can get the eigenvalues of  $R_k^+$  are greater or equal to the eigenvalues of  $R_k^-$ . Now (a3) follow from this fact and Lemma3.2. #

**Example 3.1.1**

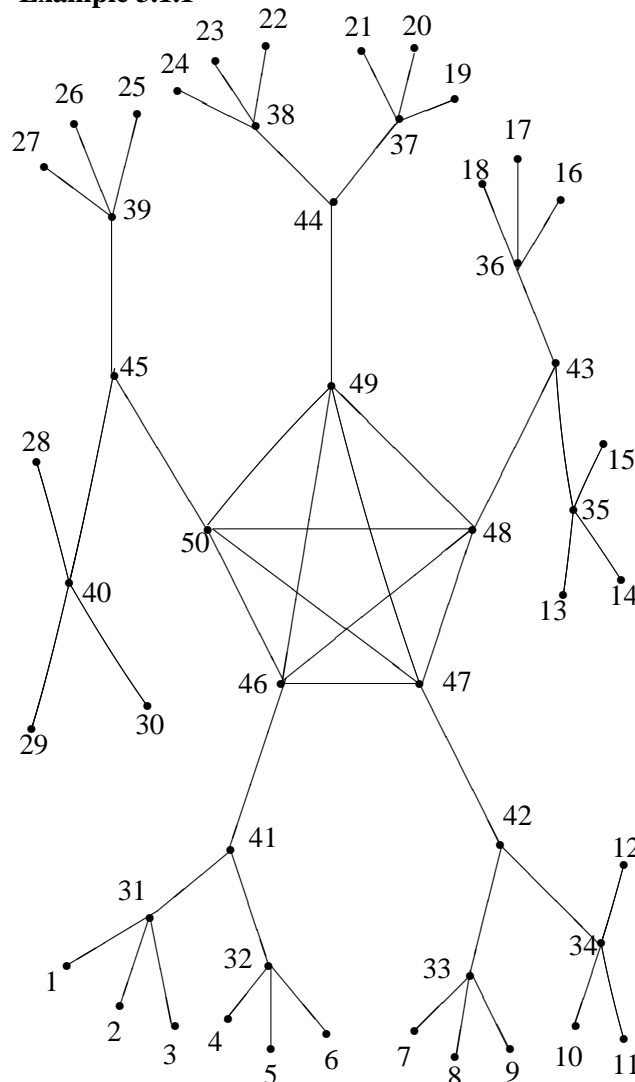


Fig.2 graph  $\mathcal{G}_5$

For the graph  $\mathcal{G}_5$  in Fig.2 for  $l = 5, k = 4, n_1 = 30, n_2 = 10, n_3 = n_4 = 5, d_1 = 1, d_2 = 4, d_3 = 3, d_4 = 5$ .

$$R_1 = 0, R_2 = \begin{pmatrix} 0 & \sqrt{3} \\ \sqrt{3} & 0 \end{pmatrix}$$

$$R_3 = \begin{pmatrix} 0 & \sqrt{3} & & \\ \sqrt{3} & 0 & \sqrt{2} & \\ 0 & \sqrt{2} & 0 & \\ & & & \end{pmatrix}$$

$$R_4^+ = \begin{pmatrix} 0 & \sqrt{3} & 0 & 0 \\ \sqrt{3} & 0 & \sqrt{2} & 0 \\ 0 & \sqrt{2} & 0 & 1 \\ 0 & 0 & 1 & 4 \end{pmatrix}$$

$$R_4^- = \begin{pmatrix} 0 & \sqrt{3} & 0 & 0 \\ \sqrt{3} & 0 & \sqrt{2} & 0 \\ 0 & \sqrt{2} & 0 & 1 \\ 0 & 0 & 1 & -1 \end{pmatrix}$$

and  $\Omega = \{1, 2\}$ . By Theorem 3.1.3, the eigenvalues of  $A(\mathcal{G}_5)$  in Fig.2 are the eigenvalues of  $R_1, R_2, R_4^+$  and  $R_4^-$ , they are

$$\begin{aligned} R_1 &: && 0 \\ R_2 &: &-1.7320 & 1.7320 \\ R_4^- &: &-2.4142 & -1.3028 & 0.4142 & 2.3028 \\ R_4^+ &: &-2.2696 & -0.1444 & 2.1444 & 4.2696 \end{aligned}$$

The spectral radius of  $\mathcal{G}_5$  in Fig.2 is  $\lambda_1(A(\mathcal{G}_5)) = 4.2696$ .

$$\begin{aligned} \text{Spec}A(\mathcal{G}_5) = & \\ & \begin{pmatrix} 4.2696 & 2.3028 & 2.1444 & 1.7320 \\ 1 & 4 & 1 & 5 \\ & & & \\ & & & \end{pmatrix} \\ & \begin{pmatrix} 0.4142 & 0 & -0.1444 & -1.3028 \\ 4 & 20 & 1 & 4 \\ & & & \\ & & & \end{pmatrix} \\ & \begin{pmatrix} -1.7320 & -2.2696 & -2.4142 \\ 5 & 1 & 4 \end{pmatrix}. \end{aligned}$$

**3.2 The spectrum of the Laplacian matrix of  $\mathcal{G}_l$**

**Theorem 3.2.1** Let

$$P_0(\mu) = 1, P_1(\mu) = \mu - 1,$$

$$P_j(\mu) = (\mu - d_j)P_{j-1}(\mu) - \frac{n_{j-1}}{n_j}P_{j-2}(\mu), \text{ for } j = 2, 3, \dots, k,$$

$$P_k^+(\mu) = (\mu - (d_k + 1))P_{k-1}(\mu) - \frac{n_{k-1}}{l}P_{k-2}(\mu)$$

and

$$P_k^-(\mu) = (\mu - (d_k - l + 1))P_{k-1}(\mu) - \frac{n_{k-1}}{l}P_{k-2}(\mu).$$

Then

(i) If  $P_j(\mu) \neq 0$ , for all  $j = 1, 2, \dots, k - 1$ , then

$$\det(\mu I - L(\mathcal{G}_l)) = (P_k^+(\mu))^{l-1}P_k^-(\mu) \times \prod_{j \in \Omega} P_j^{n_j - n_{j+1}}(\mu). \quad (6)$$

(ii) The spectrum of  $L(\mathcal{G}_l)$  is  $\sigma(L(\mathcal{G}_l)) = (\cup_{j \in \Omega} \{\mu : P_j(\mu) = 0\}) \cup \{\mu : P_k^-(\mu) = 0\} \cup \{\mu : P_k^+(\mu) = 0\}$ .

**Proof.** Suppose  $P_j(\mu) \neq 0$  for all  $j = 1, 2, \dots, k - 1$ . We apply Lemma 2.1 to  $M = \mu I - L(\mathcal{G}_l)$ , we denote  $\mu - d_j = x_j, (j = 1, 2, \dots, k)$ , then

$$M = \mu \mathbf{I} - L(\mathcal{G}_l) =$$

$$\begin{pmatrix} x_1 \mathbf{I}_{n_1} & C_1 & & & & \\ C_1^T & x_2 \mathbf{I}_{n_2} & C_2 & & & \\ & C_2^T & \ddots & \ddots & & \\ & & \ddots & \ddots & \ddots & \\ & & & \ddots & x_{k-1} \mathbf{I}_{n_{k-1}} & C_{k-1} \\ & & & & C_{k-1}^T & x_k \mathbf{I}_{n_k} + B_l \end{pmatrix}.$$

We have

$$\beta_1 = \mu - d_1 = \mu - 1 = P_1(\mu) \neq 0,$$

$$\begin{aligned} \beta_2 &= (\mu - d_2) - \frac{n_1}{n_2} \frac{1}{\beta_1} \\ &= \mu - d_2 - \frac{n_1}{n_2} \frac{1}{P_1(\mu)} \\ &= \frac{(\mu - d_2)P_1(\mu) - \frac{n_1}{n_2} P_0(\mu)}{P_1(\mu)} \\ &= \frac{P_2(\mu)}{P_1(\mu)} \neq 0. \end{aligned}$$

Similarly, for  $j = 3, 4, \dots, k - 1, k$

$$\begin{aligned} \beta_j &= (\mu - d_j) - \frac{n_{j-1}}{n_j} \frac{1}{\beta_{j-1}} \\ &= \mu - d_j - \frac{n_{j-1}}{n_j} \frac{P_{j-2}(\mu)}{P_{j-1}(\mu)} \\ &= \frac{(\mu - d_j)P_{j-1}(\mu) - \frac{n_{j-1}}{n_j} P_{j-2}(\mu)}{P_{j-1}(\mu)} \\ &= \frac{P_j(\mu)}{P_{j-1}(\mu)} \neq 0. \end{aligned}$$

Thus

$$\begin{aligned} \beta_k - 1 &= \frac{P_k(\mu)}{P_{k-1}(\mu)} - 1 \\ &= \frac{P_k(\mu) - P_{k-1}(\mu)}{P_{k-1}(\mu)} \\ &= \frac{(\mu - d_k - 1)P_{k-1}(\mu) - \frac{n_{k-1}}{l}P_{k-2}(\mu)}{P_{k-1}(\mu)} \\ &= \frac{P_k^+(\mu)}{P_{k-1}(\mu)}, \end{aligned}$$

$$\begin{aligned} \beta_k + l - 1 &= \frac{P_k(\mu)}{P_{k-1}(\mu)} + l - 1 \\ &= \frac{P_k(\mu) + (l-1)P_{k-1}(\mu)}{P_{k-1}(\mu)} \\ &= \frac{(\mu - d_k + l - 1)P_{k-1}(\mu) - \frac{n_{k-1}}{l}P_{k-2}(\mu)}{P_{k-1}(\mu)} \\ &= \frac{P_k^-(\mu)}{P_{k-1}(\mu)}. \end{aligned}$$

Therefore, from Lemma 2.1,

$$\begin{aligned} & \det(\mu\mathbf{I} - L(\mathcal{G}_l)) \\ &= P_1^{n_1}(\mu) \frac{P_2^{n_2}(\mu)}{P_1^{n_2}(\mu)} \cdots \frac{P_{k-1}^{n_{k-1}}(\mu)}{P_{k-2}^{n_{k-1}}(\mu)} \frac{P_k^+(\mu)}{P_{k-1}(\mu)} \frac{(P_k^+(\mu))^{l-1}}{P_{k-1}^{l-1}(\mu)} \\ &= P_1^{n_1 - n_2}(\mu) P_2^{n_2 - n_3}(\mu) \cdots P_{k-1}^{n_{k-1} - n_k}(\mu) \\ & \quad \times P_k^-(\mu) (P_k^+(\mu))^{l-1} \\ &= (P_k^+(\mu))^{l-1} P_k^-(\mu) \prod_{j \in \Omega} P_j^{n_j - n_{j+1}}(\mu). \end{aligned}$$

Thus (i) is proved. Similar to the proof Theorem 3.1.1, we can get (ii) by (i). #

Let  $W_k^+$  and  $W_k^-$  be the  $k \times k$  symmetric tridiagonal matrices

$$W_k^+ = \begin{pmatrix} 1 & \sqrt{d_2 - 1} & & & \\ \sqrt{d_2 - 1} & d_2 & \sqrt{d_3 - 1} & & \\ & \sqrt{d_3 - 1} & \ddots & \ddots & \\ & \sqrt{d_{k-1} - 1} & & d_{k-1} & \sqrt{d_k - l + 1} \\ & & & \sqrt{d_k - l + 1} & d_k + 1 \end{pmatrix}$$

and  $W_k^- =$

$$\begin{pmatrix} 1 & \sqrt{d_2 - 1} & & & \\ \sqrt{d_2 - 1} & d_2 & \sqrt{d_3 - 1} & & \\ & \sqrt{d_3 - 1} & \ddots & \ddots & \\ & \sqrt{d_{k-1} - 1} & & d_{k-1} & \sqrt{d_k - l + 1} \\ & & & \sqrt{d_k - l + 1} & d_k - l + 1 \end{pmatrix}.$$

Observe that

$$W_k^+ = W_k^- + \text{diag}\{0, 0, \dots, 0, l\}.$$

**Theorem 3.2.2** For  $j = 1, 2, 3, \dots, k - 1$ , let  $W_j$  be the  $j \times j$  leading principal submatrix  $W_k^+$ . Then

$$\begin{aligned} \det(\mu\mathbf{I} - W_j) &= P_j(\mu), j = 1, 2, \dots, k - 1, \\ \det(\mu\mathbf{I} - W_k^-) &= P_k^-(\mu), \\ \det(\mu\mathbf{I} - W_k^+) &= P_k^+(\mu). \end{aligned}$$

**Proof.** Similar to the proof of Theorem 3.1.2, in this case,  $a_1 = 1$ ,  $a_j = d_j$  for  $j = 2, 3, \dots, k - 1$ ,  $a_k = d_k + 1$  (or  $a_k = d_k - l + 1$ ) and

$$b_{k-1} = \sqrt{\frac{n_{k-1}}{n_k}} = \sqrt{d_k - l + 1},$$

$$b_j = \sqrt{\frac{n_j}{n_{j+1}}} = \sqrt{d_{j+1} - 1}$$

$$\text{for } j = 1, 2, 3, \dots, k - 2.$$

For these values, recursion formula (4) gives the polynomials  $P_j(\mu)$ ,  $j = 0, 1, 2, \dots, k - 1$ ,  $P_k^+(\mu)$  and  $P_k^-(\mu)$ .

This completes the proof. #

Similar to the proof of Theorem 3.1.3, we can get:

**Theorem 3.2.3** Let  $W_j$ ,  $j = 1, 2, \dots, k - 1$ ,  $W_k^+$  and  $W_k^-$  as above. then

(i)  $\sigma(L(\mathcal{G}_l)) = (\cup_{j \in \Omega} \sigma(W_j)) \cup \sigma(W_k^+) \cup \sigma(W_k^-)$ .

(ii) The multiplicity of each eigenvalue of the matrix  $W_j$ , as an eigenvalue of  $L(\mathcal{G}_l)$ , is at least  $n_j - n_{j+1}$  for  $j \in \Omega$ , 1 for the eigenvalues of  $W_k^-$  and  $l - 1$  for the eigenvalues of  $W_k^+$ .

**Theorem 3.2.4** Let  $L(\mathcal{G}_l)$  be the Laplacian matrix of  $\mathcal{G}_l$ . Then

(b<sub>1</sub>)  $\sigma(W_{j-1}) \cap \sigma(W_j) = \phi$  for  $j = 2, 3, \dots, k - 1$ .

(b<sub>2</sub>)  $\sigma(W_{k-1}) \cap \sigma(W_k^+) = \phi$  and  $\sigma(W_{k-1}) \cap \sigma(W_k^-) = \phi$ .

(b<sub>3</sub>)  $\det W_j = 1$  for  $j = 1, 2, \dots, k - 1$ ,  $\det W_k^- = 0$  and  $\det W_k^+ = l$ .

(b<sub>4</sub>) The largest eigenvalue of  $W_k^+$  is the largest eigenvalue of  $L(\mathcal{G}_l)$ .

(b<sub>5</sub>) The smallest eigenvalue of  $W_k^+$  is the algebraic connectivity  $\mathcal{G}_l$ .

**Proof.** (b<sub>1</sub>) and (b<sub>2</sub>) follow from Lemma 3.2.

Now we apply the Gaussian elimination procedure, without row interchanges, to reduce  $W_j$  to the upper triangular matrix

$$W_j \Rightarrow \begin{pmatrix} 1 & \sqrt{d_2 - 1} & & & \\ & 1 & \sqrt{d_3 - 1} & & \\ & & 1 & \ddots & \\ & & & \ddots & \sqrt{d_{j-1} - 1} \\ & & & & 1 & \sqrt{d_j - 1} \\ & & & & & 1 \end{pmatrix}.$$



The same procedure applied  $W_k^+$  and  $W_k^-$  gives the triangular matrices

$$W_k^+ \Rightarrow \begin{pmatrix} 1 & \sqrt{d_2-1} & & & \\ & 1 & \sqrt{d_3-1} & & \\ & & \ddots & \ddots & \\ & & & \sqrt{d_{k-1}-1} & \\ & & & 1 & \sqrt{d_k-l+1} \\ & & & & l \end{pmatrix}$$

and  $W_k^- \Rightarrow$

$$\begin{pmatrix} 1 & \sqrt{d_2-1} & & & \\ & 1 & \sqrt{d_3-1} & & \\ & & \ddots & \ddots & \\ & & & \sqrt{d_{k-1}-1} & \\ & & & 1 & \sqrt{d_k-l+1} \\ & & & & 0 \end{pmatrix}.$$

respectively. Thus (b<sub>3</sub>) is proved and 0 is the smallest eigenvalue of  $W_k^-$ .

Since

$$W_k^+ = W_k^- + \text{diag}\{0, 0, \dots, 0, l\},$$

by Lemma 3.3, the eigenvalues of  $W_k^+$  are greater or equal to the eigenvalues of  $W_k^-$ . Now (b<sub>4</sub>) and (b<sub>5</sub>) follow from this fact and Lemma 3.2. #

**Example 3.2.1** For the graph  $\mathcal{G}_5$  in Fig.2

$$W_1 = 1, W_2 = \begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & 4 \end{pmatrix}$$

$$W_3 = \begin{pmatrix} 1 & \sqrt{3} & 0 \\ \sqrt{3} & 4 & \sqrt{2} \\ 0 & \sqrt{2} & 3 \end{pmatrix}$$

$$W_4^+ = \begin{pmatrix} 1 & \sqrt{3} & 0 & 0 \\ \sqrt{3} & 4 & \sqrt{2} & 0 \\ 0 & \sqrt{2} & 3 & 1 \\ 0 & 0 & 1 & 6 \end{pmatrix}$$

$$W_4^- = \begin{pmatrix} 0 & \sqrt{3} & 0 & 0 \\ \sqrt{3} & 0 & \sqrt{2} & 0 \\ 0 & \sqrt{2} & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix}$$

and  $\Omega = \{1, 2\}$ . By Theorem 3.2.2, the eigenvalues of  $L(\mathcal{G}_5)$  in Fig.2 are the eigenvalues of  $W_1, W_2, W_4^+$  and  $W_4^-$ , they are

$W_1$ :	1			
$W_2$ :	0.2088	4.7912		
$W_4^-$ :	0	0.6385	2.8327	5.5287
$W_4^+$ :	0.0658	2.2511	5.2586	6.4244

The spectral radius of  $\mathcal{G}_5$  in Fig.2 is  $\mu_1(L(\mathcal{G}_5)) = 6.4244$ .

$$\text{Spec}L(\mathcal{G}_5) = \begin{pmatrix} 6.4244 & 5.5287 & 5.2586 & 4.7912 \\ 4 & 1 & 4 & 5 \\ 2.8327 & 2.2511 & 1 & 0.6385 \\ 1 & 4 & 20 & 1 \\ 0.2088 & 0.0658 & 0 & \\ 5 & 4 & 1 & \end{pmatrix}.$$

#### 4 An upper bound for the largest eigenvalue of the adjacency matrix $A(\mathcal{G}_l)$ and the Laplacian matrix $L(\mathcal{G}_l)$

In this section we will give an upper bound for the largest eigenvalue of the adjacency matrix and the Laplacian matrix of graph  $\mathcal{G}_l$ .

**Lemma 4.1**[3] Let  $B = (b_{ij})$  be a nonnegative  $n \times n$  matrix and  $\lambda$  is the largest eigenvalue of matrix  $B$ . Denote the  $i$ th row sum of  $B$  by  $s_i(B)$ . Then

$$\min_{1 \leq i \leq n} s_i(B) \leq \lambda \leq \max_{1 \leq i \leq n} s_i(B),$$

the left equality holds if and only if the right equality also holds.

##### 4.1 An upper bound for the largest eigenvalue of the adjacency matrix $A(\mathcal{G}_l)$

**Theorem 4.1.1** Let  $\mathcal{G}_l$  ( $l$  is a positive integer) be the graph as above and that  $\mathcal{G}_l$  has  $k$  levels,  $d_{k-j+1}$  be the degree of vertices in the level  $j$ , then

$$\lambda_1(A(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j-1} + \sqrt{d_{j+1}-1} \}, \sqrt{d_{k-1}-1} + \sqrt{d_k-l+1}, \sqrt{d_k-l+1} + l - 1 \right\}.$$

**Proof.** Let  $R_k^+ =$

$$\begin{pmatrix} 0 & \sqrt{d_2-1} & & & \\ \sqrt{d_2-1} & 0 & \sqrt{d_3-1} & & \\ & \sqrt{d_3-1} & \ddots & \ddots & \\ & & \sqrt{d_{k-1}-1} & 0 & \sqrt{d_k-l+1} \\ & & & \sqrt{d_k-l+1} & l-1 \end{pmatrix},$$

we now apply Lemma 4.1 to conclude

$$\lambda_1(R_k^+) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j-1} + \sqrt{d_{j+1}-1} \}, \sqrt{d_{k-1}-1} + \sqrt{d_k-l+1}, \sqrt{d_k-l+1} + l - 1 \right\}.$$

From Theorem 3.3.4, we easily have

$$\lambda_1(A(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + l - 1 \right\} . \#$$

### 4.2 An upper bound for the largest eigenvalue of the Laplacian matrix $L(\mathcal{G}_l)$

In this section we give an upper bound for the largest eigenvalue of the Laplacian matrix  $L(\mathcal{G}_l)$ .

**Theorem 4.2.1** Let  $\mathcal{G}_l$  ( $l$  is a positive integer) be the graph as above and that  $\mathcal{G}_l$  has  $k$  levels,  $d_{k-j+1}$  be the degree of vertices in the level  $j$ , then

$$\mu_1(L(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + d_j + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + d_{k-1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + d_k + 1 \right\} .$$

**Proof.** Let  $W_k^+ =$

$$\begin{pmatrix} 1 & \sqrt{d_2 - 1} & & & \\ \sqrt{d_2 - 1} & d_2 & \sqrt{d_3 - 1} & & \\ & \sqrt{d_3 - 1} & \ddots & \ddots & \\ & \sqrt{d_{k-1} - 1} & & d_{k-1} & \sqrt{d_k - l + 1} \\ & & & \sqrt{d_k - l + 1} & d_k + 1 \end{pmatrix}$$

we now apply Lemma 4.1 to conclude

$$\mu_1(W_k^+) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + d_j + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + d_{k-1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + d_k + 1 \right\} .$$

From Theorem 3.2.4, we easily have

$$\mu_1(L(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + d_j + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + d_{k-1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + d_k + 1 \right\} . \#$$

## 5 Conclusion

We studied the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$  and the spectrum of the Laplacian matrix  $L(\mathcal{G}_l)$  for all positive integer  $l$  with an effective way.

(I). Let  $R_j, j = 1, 2, \dots, k - 1, R_k^+$  and  $R_k^-$  as in section 3. We found that:

$$(I_1) \sigma(A(\mathcal{G}_l)) = (\cup_{j \in \Omega} \sigma(R_j)) \cup \sigma(R_k^+) \cup \sigma(R_k^-) .$$

(I<sub>2</sub>) The multiplicity of each eigenvalue of the matrix  $R_j$ , as an eigenvalue of  $A(\mathcal{G}_l)$ , is at least  $n_j - n_{j+1}$

for  $j \in \Omega, 1$  for the eigenvalues of  $R_k^+$  and  $l - 1$  for the eigenvalues of  $R_k^-$ .

(I<sub>3</sub>) The largest eigenvalue of  $R_k^+$  is the largest eigenvalue of  $A(\mathcal{G}_l)$  and the largest eigenvalue of  $R_k^-$  is the second largest eigenvalue of  $A(\mathcal{G}_l)$ .

It is very convenient with conclusions (I<sub>1</sub>)(I<sub>2</sub>)(I<sub>3</sub>) to calculate the spectrum of the adjacency matrix  $A(\mathcal{G}_l)$ .

In section 4.1, according to the results(I<sub>1</sub>)(I<sub>2</sub>)(I<sub>3</sub>) and Lemma 4.1, an upper bound for the largest eigenvalue of the adjacency matrix  $A(\mathcal{G}_l)$  is obtained:

$$\lambda_1(A(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + l - 1 \right\} .$$

(II). Let  $W_j, j = 1, 2, \dots, k - 1, W_k^+$  and  $W_k^-$  as in section 3.2. We found that:

$$(II_1) \sigma(L(\mathcal{G}_l)) = (\cup_{j \in \Omega} \sigma(W_j)) \cup \sigma(W_k^+) \cup \sigma(W_k^-) .$$

(II<sub>2</sub>) The multiplicity of each eigenvalue of the matrix  $W_j$ , as an eigenvalue of  $L(\mathcal{G}_l)$ , is at least  $n_j - n_{j+1}$  for  $j \in \Omega, 1$  for the eigenvalues of  $W_k^-$  and  $l - 1$  for the eigenvalues of  $W_k^+$ .

(II<sub>3</sub>) The largest eigenvalue of  $W_k^+$  is the largest eigenvalue of  $L(\mathcal{G}_l)$ .

(II<sub>4</sub>) The smallest eigenvalue of  $W_k^+$  is the algebraic connectivity  $\mathcal{G}_l$ .

In section 4.2, according to the results(II<sub>1</sub>)(II<sub>2</sub>)(II<sub>3</sub>) and Lemma 4.1, an upper bound for the largest eigenvalue of the Laplacian matrix  $L(\mathcal{G}_l)$  is obtained:

$$\mu_1(L(\mathcal{G}_l)) < \max \left\{ \max_{2 \leq j \leq k-2} \{ \sqrt{d_j - 1} + d_j + \sqrt{d_{j+1} - 1} \}, \sqrt{d_{k-1} - 1} + d_{k-1} + \sqrt{d_k - l + 1}, \sqrt{d_k - l + 1} + d_k + 1 \right\} .$$

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