# A Note on Banach Principle for JW-algebras

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*Abstract:* - In the sequel we establish the Banach Principle for semifinite *JW*-algebras without direct summand of type  $I_2$ , which extends the recent results of Chilin and Litvinov on the Banach Principle for semifinite von Neumann algebras to the case of *JW*-algebras.

*Key-Words:* - von Neumann algebras, Jordan operator algebras, *JW*-algebras, Banach Principle, \*-algebra of  $\tau$ -measurable operators affiliated to a semifinite von Neumann algebra, Jordan algebra of  $\tau$ -measurable operators affiliated to a semifinite *JW*-algebra.

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#### **1** Introduction

Let  $(\Omega, \Sigma, \mu)$  be a probability space. Denote by  $\mathcal{L} = \mathcal{L}(\Omega, \mu)$  the set of all (classes of) complexvalued measurable functions on  $\Omega$ . Let  $\tau_{\mu}$  be the measure topology on  $\mathcal{L}$ . The classical Banach Principle (see for example [13]) can be stated as follows:

**Classical Banach Principle.** Let  $(X ||\cdot||)$  be a Banach space, and let  $a_n : (X ||\cdot||) \rightarrow (\mathcal{L}, \tau_{\mu})$  be a sequence of continuous linear maps. Consider the following properties:

(I) the sequence  $\{a_n(x)\}$  converges almost everywhere (a.e.) for every  $x \in X$ ;

(II)  $\hat{a}(x)(\omega) = \sup_{n} |a_{n}(x)(\omega)| < \infty$  a.e. for every  $x \in X$ :

(III) (II) holds, and the maximal operator  $\hat{a}: (X \parallel \cdot \parallel) \rightarrow (\mathcal{L}, \tau_{\mu})$  is continuous at 0;

(IV) the set  $\{x \in X : \{a_n(x)\} \text{ converges a.e.} \}$  is closed in X.

Then the implications  $(I) \Rightarrow (II) \Rightarrow (III) \Rightarrow (IV)$ are always true. If in addition, there exists a dense subset  $D \subset X$ , such that the sequence  $\{a_n(x)\}$  converges a.e. for every  $x \in D$ , then all four conditions (I)-(IV) above are equivalent.

The Banach Principle above was often applied in the case  $X = (L^p, ||\cdot||_p)$ , where  $1 \le p < \infty$ . However, in the case  $p = \infty$  the uniform topology on  $L^{\infty}$  appears to be too strong for the classical Banach Principle to be effective in  $L^{\infty}$ . For example, one can notice that continuous functions are not uniformly dense in  $L^{\infty}$ .

Bellow and Jones [9], using the fact that the unit ball  $L_1^{\infty} = \{x \in L^{\infty} : ||x||_{\infty} \le 1\}$  is complete in  $\tau_{\mu}$ , suggested to consider the measure topology on  $L^{\infty}$ by replacing  $(X || \cdot ||)$  by  $(L_1^{\infty}, \tau_{\mu})$ . Since  $L_1^{\infty}$  is not a linear space, geometrical complications occur, which, however, were successfully resolved in [9].

Non-commutative versions of Banach Principle for measurable operators affiliated to a semifinite von Neumann algebra were established in [14] and [18]. These results were extended to the case of semifinite *JBW*-algebras in [16] and [17], following ideas introduced in [1], [2], [4], [5], [10]. A noncommutative version of the Banach Principle for  $L^{\infty}$  was proposed by Chilin and Litvinov in [12]. The present notes are devoted to a presentation of an extension of the results in [12] to the case of JWalgebras without direct summand of the type  $I_2$ .

### **2** Preliminaries

Let *M* be a semifinite von Neumann algebra of bounded operators acting on a complex Hilbert space *H* ([11]), and let *B*(*H*) be the algebra of all bounded operators on *H*. A densely defined closed operator *x* on *H* is called *affiliated* to *M* if y'z = zy', with  $z \in M$  ([19], [21]). Denote by *P*(*M*) the complete lattice of projections in *M*. Let  $\tau$ be a faithful normal semifinite trace on *M*. Denote by  $e^{\perp} = \mathbf{1} - e$  the orthogonal complemented projection for the projection  $e \in P(M)$ . An operator *x* affiliated to *M* is called  $\tau$ -measurable if  $\forall \varepsilon > 0$ ,  $\exists e \in P(M)$  with  $\tau(e^{\perp}) \leq \varepsilon$  such that *eH* belongs to the domain of the operator *x*. Let  $L(M, \tau)$  be the set of all  $\tau$ -measurable operators affiliated to *M*.

Set  $V(\varepsilon, \delta) = \{x \in L(M, \tau) : || xe || < \delta$  for some  $e \in P(M)$  with  $\tau(e^{\perp}) < \varepsilon\}$ , for arbitrary  $\varepsilon > 0$  and  $\delta > 0$ , where  $|| \cdot ||$  stands for the operator norm on B(H). The topology  $t_{\tau}$  defined on  $L(M, \tau)$  by the family  $\{V(\varepsilon, \delta) : \varepsilon > 0, \delta > 0\}$  of neighborhoods of zero is called the *measure* topology ([19], [21]).

**Theorem 1.**  $(L(M, \tau), t_{\tau})$  is a complete metrizable topological \*- algebra.

**Proof.** See [19], [21] for details. **Proposition 1.** For any d > 0, the sets  $M_d = \{x \in M : ||x|| \le d\}, M_d^h = \{x \in M_d : x = x^*\}$ 

are  $t_{\tau}$ -complete.

**Proof.** See [12] for details. 
$$\Box$$

A sequence  $\{y_n\} \subset L(M, \tau)$  is said to converge almost uniformly (a.u.) to  $y \in L(M, \tau)$  if  $\forall \varepsilon > 0$ ,  $\exists e \in P(M)$  with  $\tau(e^{\perp}) < \varepsilon$  such that

$$\|(y-y_n)e\| \to 0.$$

**Proposition 2.** For  $\{y_n\} \subset L(M, \tau)$  the conditions (i)  $\{y_n\}$  converges a.u. in  $L(M, \tau)$ ;

(ii)  $\forall \varepsilon > 0$ ,  $\exists e \in P(M)$  with  $\tau(e^{\perp}) < \varepsilon$  such that  $||(y_m - y_n)e|| \rightarrow 0$  as  $m, n \rightarrow \infty$ ; are equivalent.

**Proof.** See [12] for details.

The following theorem is a non-commutative version of Riesz theorem ([13]).

**Theorem 2.** If 
$$\{y_n\} \subset L(M, \tau)$$
 and  
 $y = t_{\tau} - \lim_{n \to \infty} y_n$ , then  $y = a.u. - \lim_{k \to \infty} y_{n_k}$  for

some subsequence  $\{y_n\} \subset \{y_n\}$ .

**Proof.** *See* [21] *and* [14] *for details.* □

Let A be a semifinite JW-subalgebra of  $B(H)_{SA}$ 

without a direct summand of type  $I_2$  (see [15] and [20] for definitions), P(A) be the complete lattice of projections in A, and  $\tau$  be a faithful normal semifinite trace on A. Let M = M(A) be the von Neumann enveloping algebra of the Jordan algebra A. Then  $\tau$  can be uniquely extended to a faithful normal semifinite trace on M, for which we will use the same symbol  $\tau$  (see [3], [6] and [8]). A self adjoint operator  $x \in L(M, \tau)$  is called affiliated to a *JW*-algebra A, if all its spectral projections belong to A (see [3], [6], [7] and [8]). An operator x affiliated to A is called  $\tau$ -measurable if  $\forall \varepsilon > 0$ ,  $\exists e \in P(A)$  with  $\tau(e^{\perp}) \leq \varepsilon$  such that eH belongs to the domain of the operator x. Let  $L(A, \tau)$  be the set of all  $\tau$ -measurable operators affiliated to A.

**Proposition 3.** An operator  $x \in L(M, \tau)_{SA}$  is affiliated to A iff  $x \in L(A, \tau)$ .

**Proof.** Follows from arguments in [20]. **Theorem 3.**  $(L(A, \tau), t_{\tau})$  is a complete topological

Jordan subalgebra of  $(L(M, \tau), t_{\tau})_{SA}$ .

**Proof.** A direct consequence of Theorem 1 and arguments in [20].

A sequence  $\{y_n\} \subset L(A,\tau)$  is said to converge bilaterally with square almost uniformly (b.s.a.u.) to  $y \in L(A,\tau)$  if  $\forall \varepsilon > 0, \exists e \in P(A)$  with  $\tau(e^{\perp}) < \varepsilon$ such that  $||e(y - y_n)^2 e|| \rightarrow 0$ .

**Proposition 4.** For  $\{y_n\} \subset L(A, \tau) \subset L(M, \tau)_{SA}$  *the conditions:* 

- (i)  $\{y_n\}$  converges a.u. in  $L(M, \tau)$ ;
- (ii)  $\forall \varepsilon > 0$ ,  $\exists e \in P(M)$  with  $\tau(e^{\perp}) < \varepsilon$  such that  $||(y_m - y_n)e|| \rightarrow 0 \text{ as } m, n \rightarrow \infty;$ (iii)  $\{y_n\}$  converges b.s.a.u. in  $L(A, \tau)$ ;

(iv)  $\forall \varepsilon > 0$ ,  $\exists e \in P(A)$  with  $\tau(e^{\perp}) < \varepsilon$  such that  $||e(y_m - y_n)^2 e|| \rightarrow 0$  as  $m, n \rightarrow \infty$ ; are equivalent.

**Proof.** From 
$$||e(y_m - y_n)^2 e|| =$$
  
= $||e(y_m - y_n)(y_m - y_n)e|| =$ 

b.s.a.u. fundamentalness of a sequence in a reversible JW-algebra ([15], [8]) is equivalent to a.u. fundamentalness of the same sequence in its von Neumann enveloping algebra M = M(A). Thus the statement follows from Proposition 2 above.

The Riesz theorem 2 above will take the following form.

**Theorem 4.** If  $\{y_n\} \subset L(A, \tau)$  and

$$y = t_{\tau} - \lim_{n \to \infty} y_n$$
, then  $y = b.s.a.u. - \lim_{k \to \infty} y_{n_k}$ 

for some subsequence  $\{y_{n_k}\} \subset \{y_n\}$ .

**Proof.** *Directly follows from Proposition 4 and Theorem 2 above.* □

## **3** Bilateral with square uniform equicontinuity for sequences of maps into $L(A, \tau)$

Let E be an arbitrary set. If  $a_n : E \to L(A, \tau)$ ,  $x \in E$ , and  $b \in A$  such that  $\{b(a_n(x))^2 b\} \subset A$ . Denote  $S(\{a_n^2\}, x, b) = \sup_n || b(a_n(x))^2 b ||$ . The following Lemma is valid. **Lemma 1.** Let (X, +) be a semigroup, and  $a_n : X \to L(A, \tau)$  be a sequence of additive maps. Assume that  $\overline{x} \in X$  is such that  $\forall \varepsilon > 0$ ,  $\exists \{x_k\} \subset X$ , and  $p \in P(A)$  with  $\tau(p^{\perp}) < \varepsilon$ , such that : (i)  $\{a_n(\overline{x} + x_k)\}$  converges b.s.a.u. as  $n \to \infty$ , for every  $k \in N$ ; (ii)  $S(\{a_n^2\}, x_k, p) \to 0$ , as  $k \to \infty$ . Then the sequence  $\{a_n(\overline{x})\}$  converges b.s.a.u. in  $L(A, \tau)$ .

**Proof.** Follows from [12] and Proposition 4. Let (X, t) be a topological space, and  $a_n: X \to L(A, \tau)$  and  $x_0 \in X$  be such that  $a_n(x_0) = 0$  for  $n \in N$ . A sequence  $\{a_n\}$  is called bilaterally with square equicontinuous at  $x_0$  if  $\forall \varepsilon, \delta > 0$ ,  $\exists$  a neighborhood U of  $x_0$  in (X, t) such that  $a_n U \subset V(\varepsilon, \delta) \cap L(A, \tau)$ ,  $n \in N$ , i.e.  $\forall x \in U$  and  $\forall n \in N$  one can find a projection  $e = e(x, n) \in P(A)$  with  $\tau(e^{\perp}) < \varepsilon$ , satisfying  $||e(a_n(x))^2 e|| < \delta$ .

Let now  $x_0 \in E \subset X$ . A sequence  $\{a_n\}$  is called *bilaterally with square uniformly equicontinuous* at  $x_0$  on E, if  $\forall \varepsilon, \delta > 0$ ,  $\exists$  a neighborhood U of  $x_0$  in (X, t) such that  $\forall x \in E \cap U$ ,  $\exists e = e(x) \in P(A)$  with  $\tau(e^{\perp}) < \varepsilon$ , satisfying  $S(\{a_n^2\}, x, e) < \delta$ .

**Proposition 5.** Let the sequence  $\{a_n\}$  and

 $x_0 \in E \subset X$  be as above. Then,

(i)  $\{a_n\}$  is equicontinuous at  $x_0$  on E into  $L(M, \tau)$ iff it is bilaterally with square equicontinuous at  $x_0$  on E into  $L(A, \tau)$ ;

(ii)  $\{a_n\}$  is uniformly equicontinuous ([12]) at  $x_0$  on E into  $L(M, \tau)$  iff it is bilaterally with square uniformly equicontinuous at  $x_0$  on E into  $L(A, \tau)$ . **Proof.** Directly follows from Proposition 4 and arguments in [12].

Theorem 1 and theorem 3 established that that  $(L(M, \tau), t_{\tau})$  is a complete metrizable topological \*-algebra, and  $(L(A, \tau), t_{\tau})$  is a complete metrizable topological Jordan subalgebra of  $(L(M, \tau), t_{\tau})_{SA}$ . In [12] it has been established that for any d > 0, the sets

$$\begin{split} M_d &= \{x \in M : || \ x \ || \le d\}, \text{ and} \\ M_d^h &= \{x \in M_d : x = x^*\} \text{ are } t_\tau \text{ -complete. It is easy to see that the set } A_d = M_d^h \cap A \text{ is} \end{split}$$

 $t_{\tau}$  -complete too.

**Lemma 2.** Let d > 0. If  $a_n : A \to L(A, \tau)$  be a sequence of additive maps. Then it is bilaterally with square uniformly equicontinuous at 0 on  $A_d$  iff it is uniformly equicontinuous at 0 on  $M_d$  (where in the second condition we mean that all maps are extended by linearity to the sequence of additive maps  $M \to L(M, \tau)$ ). **Proof.** Directly follows from Proposition 5, and arguments in [12] and [8].

**Lemma 3.** Let a sequence  $a_n : A \to L(A, \tau)$  of additive maps be bilaterally with square uniformly equicontinuous at 0 on  $A_d$  for some  $0 < d \in \mathbf{R}$ . Then  $\{a_n\}$  is as well bilaterally with square uniformly equicontinuous at 0 on  $A_s$  for every  $0 < s \in \mathbf{R}$ . **Proof.** Directly follows from Lemma 2 and arguments in [12] and [8].

4 Main results

Let  $0 \in E \subset A$ . For a sequence

 $a_n: (A, t_\tau) \rightarrow L(A, \tau)$ , consider the following conditions:

• Bilateral with square almost uniform

convergence of  $\{a_n(x)\}$  for every  $x \in E$  (BSCNV (*E*));

• Bilateral with square uniform equicontinuity at 0 on *E* (BSCNT (*E*));

• Closedness in  $(E, t_{\tau})$  of the set

 $C(E) = \{x \in E : \{a_n(x)\} \text{ converges b.s.a.u.} \}$ (BSCLS (E)).

In this section we will discuss relationships among the conditions (BSCNV ( $A_1$ )), (BSCNT

 $(A_1)$ ), and (BSCLS  $(A_1)$ ).

**Theorem 5.** Let  $a_n : A \to L(A, \tau)$  be a (BSCNV)

 $(A_1)$  sequence of positive  $t_{\tau}$  -continuous linear

maps with  $a_n(1) \le 1$ ,  $n \in N$ . Then the sequence

 $\{a_n\}$  is also (BSCNT ( $A_1$ )).

**Proof.** *Directly follows from arguments in [12] and the previous section.* 

**Theorem 6.** A (BSCNT ( $A_1$ )) sequence of additive

maps  $a_n : A \to L(A, \tau)$  is as well (BSCLS ( $A_1$ )).

**Proof.** Directly follows from arguments in [12] and

the results of the previous section.  $\hfill \Box$ 

**Theorem 7.** Let  $a_n : A \to L(A, \tau)$  be a sequence of

positive  $t_{\tau}$  -continuous linear maps such that

 $a_n(1) \leq 1$ ,  $n \in N$ . If a sequence  $\{a_n\}$  is (BSCNV)

(D)) with D being  $t_{\tau}$  -dense in  $A_1$ , the conditions

 $(BSCNV(A_1)), (BSCNV(A_1)), and (BSCLS(A_1))$ 

are equivalent.

**Proof.** *Directly follows from [12] and the results of the previous section.* 

### **5** Conclusion

Results of the present notes extend the results of [12] to the case of *JW*-algebras without direct summand of type  $I_2$ . In a new manuscript under

preparation we extend these results to the case of bilateral almost uniform convergence on semifinite von Neumann algebras and semifinite *JBW*-algebras without direct summand of type  $I_2$ . This results can be further extended to obtain Stochastic Banach Principle, and then apply it to obtain some new Ergodic type theorems for Jordan algebras.

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