Speed-Sensorless Nonlinear Predictive Control of a Squirrel Cage Motor

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Abstract: -This paper presents a nonlinear generalised predictive control scheme for a squirrel cage motor without speed sensor. Some of the difficulties faced are due to uncertainties in the parameters measurements, high cost to obtain state measurements in addition to the inherently non-linear behaviour. The control scheme presented is composed of a positioning predictive control and an open loop observer used to obtain state measurements. The proposed control scheme allows a simple and straightforward implementation. The effectiveness of this control algorithm has been successfully verified through simulations.

Key-Words: - Nonlinear System, Predictive Control, Induction Motor, Speed-sensorless control, Open loop observer.

1 Introduction

Induction motors are rugged and non-expensive devices; they are widely used in the industry environment due to their reliability, comparative low size and low maintenance requirements. This kind of machine is designed to operate under torque loads and have a high starting torque, thus, it may be used as positioning device under appropriate feedback control. The speed sensor reduces the robustness, the reliability of the motor. The maintenance of the speed sensor is costly, for these reasons, it is necessary the next generation of electrical drives include some type of sensorless control. Controlled induction motor drives without speed sensors have the attractions of low cost and high reliability. In the recent years induction motor control without speed sensors has been proposed in the literature [8], [9], [10], [11], [12], [13], [14] and [15]. Several applications of nolinear control based on geometric methods to the induction motors have been published De Luca [3] proposed a controller with position feedback and Marino [4] considered an adaptive no linear control, while Kim Donf-II [5] adopted an output feedback linearization approach and in [18] control by feedback linearization of the torque and the flux of the induction motor is presented. Also, fuzzy logic has been used in [17].

This paper presents a position predictive control scheme for an induction motor. The non-linear differential equations, which describe the dynamics of the motor, are represented by a d-q model. The design of a Generalised Predictive Control is obtained as a simplified model. On the other hand, an observer is used in open loop in order to obtain state measurements. The main advantages offered by the proposed scheme are: the position is the only measurement required and the simplicity of the control law allows a simple and straightforward implementation. The efficiency of the controller is demonstrated through simulations.

2 Dynamics of Induction Motors

In order to develop the controller it is necessary to determine the main characteristics of the induction motor, which can be represented by the "d-q" model in [1] and [2].

$$\begin{bmatrix} v_{a}^{s}(t) \\ v_{q}^{s}(t) \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} (R_{s} + L_{s}p) & 0 & Mp & 0 \\ 0 & (R_{s} + L_{s}p) & 0 & Mp \\ Mp & nMw_{r} & (R_{r} + L_{r}p) & nL_{r}w_{r} \\ -nMw_{r} & Mp & -nL_{r}w_{r} & (R_{r} + L_{r}p) \end{bmatrix} \begin{bmatrix} i_{a}^{s}(t) \\ i_{q}^{s}(t) \\ i_{q}^{r}(t) \\ i_{q}^{r}(t) \end{bmatrix}$$

$$\dot{w}_{r} = (-Dw_{r} + T_{e}) / J \qquad (1)$$

$$T_{e} = nM[i_{a}^{s}(t)i_{q}^{r}(t) - i_{q}^{s}(t)i_{d}^{r}(t)]$$

 $\dot{q} = w_r$

with $v_d^s = \sqrt{2}V_s \cos(wt)$ $v_q^s = \sqrt{2}V_s \sin(wt)$

where

- v_d^s, i_d^s Instantaneous stator direct axis voltage and current.
- v_q^s, i_q^s Instantaneous stator quadrature axis voltage and current.
- i_{d}^{r}, i_{q}^{r} Instantaneus rotor direct and quadrature-axis currents.
- *V_s* Supply voltage amplitude
- *p* Operator d/dt
- w_r Rotor angular velocity
- T_e Instantaneous electromagnetic torque
- R_s, R_r Stator and rotor resistences. $R_s = 60 ohms$, $R_r = 37.36 ohms$.
- M Peak stator-rotor mutual inductance. M = 1.6hJ, D Equivalent Inertia and viscous friction
- $J = .0186kg m^2 D = .0261 \text{Newton-m-sec/rad}$

$$L_s, L_r$$
 Stator and rotor self-inductance $L_s = 1.699h$

$$L_r = 1.68h$$

w Excitation frequency w = 377 rad / sec

- *n* Number of pole-pairs. n = 2
- l_s, l_r Stator and Rotor Leakage Inductance,

$$l_s = .0991h$$
, $l_r = .0804h$

A much simpler representation can be derived if the average value of the electromagnetic torque is considered [1] and [2]. In such a case the dynamics of the motor are reduced to the following form:

$$T_{em} = \frac{2nR_r V_s^2 / (w\phi)}{(R_s + R_r / \phi)^2 + (w(l_s + l_r))^2}$$
(2)

Replacing $u = V_s^2$ we obtain

$$T_{em} = f(w_{r_m})u$$

where

$$f(w_{r_m}) = \frac{2nR_r / (w\phi)}{(R_s + R_r / \phi)^2 + (w(l_s + l_r))^2}$$
(3)

The control input is voltage amplitude $V_s = \sqrt{u}$. And therefore the mechanical part of the motor is reduced to:

$$\dot{w}_{r_m} = (-Dw_{r_m} + f(w_{r_m})u) / J$$

$$\dot{q}_{r_m} = w_{r_m}$$

$$\phi = 1 - \|s - 1\|$$
(4)

Where ϕ represents a normalisation of the slip *s*, which can be written as

$$s = \frac{w_s - w_{r_m}}{w_s} \tag{5}$$

with $w_s = w / n$

Where w_s is defined as the synchronous speed of the motor. This model will be simulated in parallel to obtain the output derivatives necessary for predictive control law, in other words it will be used as open loop observer.

3 Description of the NCGPC

The development of the Nonlinear Continuous Time Generalized Predictive Control (NCGPC) [7, 8] was carried out following the receding horizon strategy of its linear counterpart [6], which principles can be summarised as follows:

- 1. Predict the output over a range of future times.
- 2. Assuming that the future setpoint is known, choose a set of future controls which minimize the future errors between the predicted future output and the future setpoint.
- 3. Use the first element u(t) as a current input and repeat the whole procedure at the next time instant; that is, use a receding horizon strategy.

3.1 System Description

The Nonlinear Continuous Time Generalized Predictive Control (NCGPC) considers nonlinear dynamics systems with the state-space representation: $\dot{x}(t) = f(x) + g(x)u$

$$y(t) = h(x) \tag{6}$$

where *f*, *g* and *h* are differentiable N_y times with respect to each argument, $x \in R^n$ is the vector of the state variables, $u \in R$ is the manipulated input, $y \in R$ is the output to be controlled and *r* is the relative degree.

3.2. Prediction of the output

In this section the output prediction is obtained following the idea of CGPC [6]. The output

prediction is approximated for a Maclaurin series expansion of the system output as follows.

$$y^{*}(t,T) = y(t) + \dot{y}(t)T + y^{(2)}(t)\frac{T^{2}}{2!} + \dots + y^{(N_{y})}(t)\frac{T^{N_{y}}}{N_{y}!}$$
(7)
or

$$y^{*}(t,T) = T_{N_{y}}Y_{N_{y}}$$
 (8)

where

$$Y_{N_y} = [y \ y \ y^{(2)} \ \cdots \ y^{(N_y)}]^T$$
 (9)
and

$$T_{N_y} = \begin{bmatrix} 1 & T & \frac{T^2}{2!} & \cdots & \frac{T^{N_y}}{N_y!} \end{bmatrix}$$
 (10)

The predictor order N_y is chosen less than the number of the times that the output has to be differentiated in order to obtain terms not linear in u. But in this paper the output will be differentiated until obtain u^2 .

3.3 Prediction of the reference trajectory

The objective of the control is to drive the predicted output along a desired smooth path to a set point. Such a path is called a reference trajectory. The reference trajectory following [6] is given by

$$w_r^*(t,T) = [p_0 + p_1T + p_2\frac{T^2}{2!} + \dots + p_r\frac{T^{N_y}}{N_y!}][y_{ref} - y(t)] + y(t)$$
(11)

where y_{ref} is the set point, or rewriting this equation

$$w_r^*(t,T) = T_{N_y}w_r + y(t)$$
(12)
where

 $w_r = [p_0 \quad p_1 \quad \cdots \quad p_r]^T (w - y(t))$ (13) and T_{N_r} is given by (5)

3.4 Derivative emulation

The NCGPC is based in taking the derivatives of the output, which are obtained as follows

$$\begin{split} \dot{y}(t) &= L_{f}h(x) \\ y^{(2)}(t) &= L_{f}^{2}h(x) \\ \vdots \\ y^{(r)}(t) &= L_{f}^{r}h(x) + L_{g}L_{f}^{r-1}h(x)u(t) \\ y^{(r+1)}(t) &= S_{1}(x) + J_{1}(x)u(t) + L_{g}L_{f}^{r-1}h(x)\dot{u}(t) \\ y^{(r+2)}(t) &= S_{2}(x) + J_{2}(x)u(t) + I_{1}(x)\dot{u}(t) + L_{g}L_{f}^{r-1}h(x)u^{(2)}(t) \\ \vdots \\ y^{(N_{y})}(t) &= S_{(N_{y}-r)}(x) + J_{(N_{y}-r)}(x)u(t) + I_{(N_{y}-r)}(x)\dot{u}(t) + I_{(N_{y}-r+1)}(x)u^{(2)}(t) \\ I_{(N_{y}-r-1)}(x)u^{(N_{y}-r-1)}(t) + L_{g}L_{f}^{r-1}h(x)u^{(N_{y}-r)}(t) \end{split}$$
(14)

Where $L_f h(x)$ represents the Lie derivative S_i , J_i and I_i , are some functions of x (and not u). These output derivatives are obtained from the system of equation (6) and N_y is chosen less than the number of the times that the output has to be differentiated in order to obtain terms not linear in u, r is the relative degree. Output and its derivatives can be rewritten by $Y_{N_y}(t) = O(x(t)) + H(x(t))u_{N_y}$ (15)

where

$$Y_{N_{y}} = \begin{bmatrix} y & \dot{y} & y^{(2)} & \cdots & y^{(N_{y})} \end{bmatrix}^{T} \text{ and} \\ u_{N_{y}} = \begin{bmatrix} u & u & u^{(2)} & \cdots & u^{(N_{y}-r)} \end{bmatrix}^{T}$$

$$O = \begin{bmatrix} y \\ L_{f}h(x) \\ \vdots \\ L'_{f}h(x) \\ \vdots \\ L'_{f}h(x) \\ S_{1}(x) \\ S_{2}(x) \\ \vdots \\ S_{(N_{y}-r)}(x) \end{bmatrix} H = \begin{bmatrix} 0 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ L_{g}L_{f}^{-1}h(x) & 0 & \cdots & 0 \\ J_{1}(x) & L_{g}L_{f}^{-1}h(x) & \cdots & 0 \\ J_{2}(x) & I_{1}(x) & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ J_{N_{y}-r}(x) & I_{N_{y}-r}(x) & \cdots & L_{g}L_{f}^{-1}h(x) \end{bmatrix} (16)$$

3.4.1 Open Loop Observer

To obtain the predictive controller it was necessary to get the derivatives of output of a process model. It has the relative degree equal to the process. This process model (open loop observer or internal model) is simulated in parallel in order to get the states and then obtain the derivatives of output.

$$\dot{x}_m(t) = f_m(x_m) + g_m(x_m)u$$

 $y_m(t) = h_m(x_m)$ (17)

where f_m , g_m and h_m are differentiable N_v times with

respect to each argument, $x_m \in \mathbb{R}^n$ is the vector of the state variables, $u \in \mathbb{R}$ is the manipulated input and $y \in \mathbb{R}$ is the output to be controlled, u and y are the same as the process.

3.5 Cost function minimization

The function is not defined with respect current time, but respect a moving frame, which origin is in time t, where T is the future variable. Given a predicted output over a time frame the CGPC calculates the future controls. The first element u(t) of the predicted controls is then applied to the system and the same procedure is repeated at the next time instant. This makes the predicted output depend on the input u(t) and its derivatives, and the future controls being function of u(t) and its N_u - derivatives. The cost function is:

$$J(u_{N_y}) = \int_{T_1}^{T_2} [y^*(t,T) - w_r^*(T,t)]^2 dT$$
(17)

Substituting Eqs. 8 and 12 the cost function becomes

$$J(u_{N_y}) = \int_{T_1}^{T_2} [T_{N_y}O + T_{N_y}Hu_{N_y} - T_{N_y}w_r)]^2 dT \qquad (18)$$

and the minimization results in

$$u_{N_y} = K(w_r - O) \tag{19}$$

where

$$T_{y} = \int_{T_{1}}^{T_{2}} T_{N_{y}}^{T} T_{N_{y}} dT \text{ and } K = [H^{T} T_{y} H]^{-1} [H^{T} T_{y}] \quad (20)$$

As explained above, just the first element of u_{N_y} is

applied. Then, the first row of, which will be called, the control law is given by

$$u(t) = k[w_r - O] \tag{21}$$

4 Predictive Control for an Induction Motor

In this section, a predictive control for the position of an induction motor, described by Eq. 1 is presented. The design of the controller was based on the use of the simplified model described in Eqs. 2 to 5. Obviously, the model is much simpler than the original d-q model. This approximation is sufficient for control design purposes. Thus, the relative degree of the motor and its model are the same, where the output variable is the angular position q, and the control variable is V_s . Nevertheless, torque dynamics were neglected, while the average torque is considered. The system to be controlled is shown in Fig. 1, where the block labelled motor represents Eq. 1.

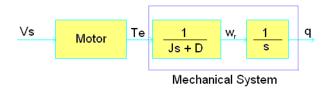


Fig. 1 System to be controlled.

We can observe in the Figs. 2 and 3 the instantaneous torque T_e using the model "d-q" given in Eq. 1 and the average torque T_{em} using the simplified model given in Eq. 2.

Figure 2 shows the simulation of the motor rotating in negative direction, while Fig. 3 illustrates the rotation in the positive direction.

To obtain the predictive controller it was necessary to get the derivatives of output of the simplified model. Obtaining

$$y_{m} = q_{m}$$

$$\dot{y}_{m} = w_{m}$$

$$\ddot{y}_{m} = -Dw_{m} / J + T_{em} / J$$
(22)

In this case until the second derivative was gotten, this is the relative degree of the simplified model.

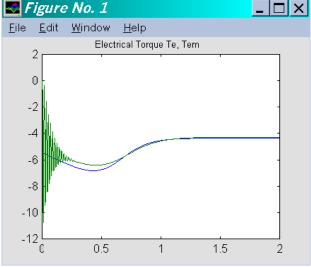


Fig. 2 Electrical Torque Te, Tem, negative direction

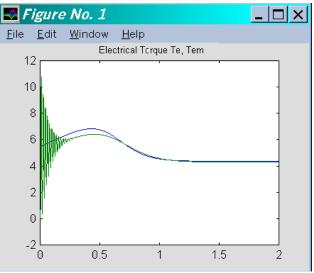


Fig. 3 Electrical Torque Te, Tem, positive direction

When the predictor is equal to the relative degree, the NCGPC becomes in a state feedback linearization, and the control law derived from the model equation is as follow:

$$u = \frac{-L_f^2 h(x_m) + \{r_0(y_{ref} - y)\frac{10}{3T^2} + [r_1(y_{ref} - y) - w_{rm}]\frac{5}{2T} + r_2(y_{ref} - y)\}}{L_g L_f h(x_m)}$$
(23)

where

$$L_g L_f h(x_m) = T_{em} / J \tag{25}$$

and T_{em} is given by Eq. 2, y_{ref} is the set point.

Note that the simplified model given by Eqs. 2 to 5 has to be simulated in parallel (open loop observer) Fig. 4, in order to obtain the states, in this case the state necessary is the rotor velocity w_{rm} .

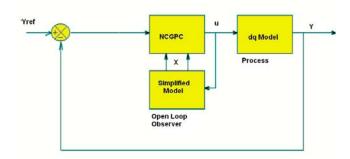


Fig. 4 NCGPC Control Scheme

Figure 5 shows that the position of the motor described by Eq. 1 reached 10 rad achieving the objective, while the position q_m of the simplified model has not reached the reference. Figures 6, 7 and 8 show the velocities of motor rotor represented by the model "d-q" and the simplified model w_r and w_{rm} , the torques T_{em} and T_e , and finally the amplitude of the applied voltage V_s , which is used to control both models

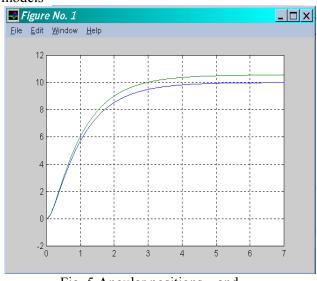
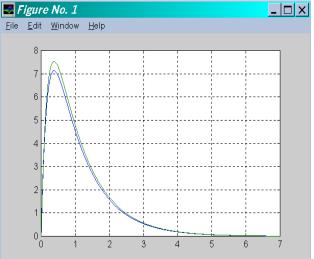


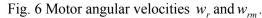
Fig. 5 Angular positions q and q_m

4 Conclusions

The paper present a positioning predictive control scheme for a squirrel cage motors, described by the model Eq. 1., where the input control was the stator voltage amplitude. The design of the Nonlinear Continuous Time Generalized Predictive Control (NCGPC) was obtained using the simplified model described by Eqs. 2 to 5 and it is used as well as an open loop observer in order to obtain state measurements. The simulation results show that it is possible to ignore the electrical torque dynamics from the design model without affecting considerably the positioning capabilities of the closed loop system.

The relevance of the proposed scheme lies on the simplicity of the controller when comparing it to previous designs, with the fact that the only measurement used is the position. The effectiveness of the controller is demonstrated through simulations, which show that the objectives of the controller are achieved and the immediate work is dedicated to the implementation and the adaptation of the proposed scheme to the real process.





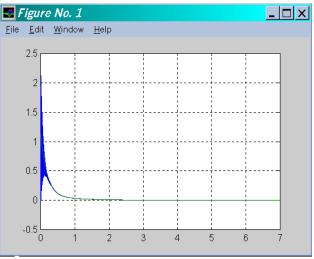


Fig. 7 Electrical Torque T_{em} and T_{e} .

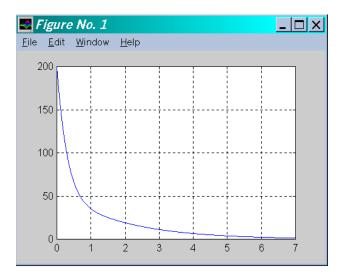


Fig. 8 Supply voltage amplitude V_s

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