The Effect of the Output Capacitor on the Power Spectrum of the EMI Radiation, the Output Voltage Ripple and the Efficiency of a SEPIC Converter

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Abstract: - This paper presents the effect of the output capacitor on the EMI spectrum of radiation, the output voltage ripple and the efficiency of a SEPIC Converter. Two SEPIC converters were designed with LT1871 (Linear Technology) and LM2577 (National Semiconductor), for an input voltage of 4 to 16V, the output voltage of 5V, output current of 0.5A, and the switching frequency of 52kHz. The functionality of the converter was simulated in LTspice IV and OrCAD, and it was compared with the experimental results. It was measured the level of EMI spectrum, the output voltage ripple and the efficiency of the converter with different types of capacitors. An acceptable output voltage ripple, which respects the standards FCC Class A and Class B, was obtained with solid and tantalum capacitors in parallel with small MLCC capacitors. The minimum of ripple and frequency spectrum was obtained by adding a LC Filter to the output of the converter. With this filter the converter respects the standard SAE J1113.

Key-Words: - SMPS, SEPIC converter, output voltage ripple, converter's efficiency, frequency spectrum

1 Introduction

Nowadays, the majority of electronic equipments have a switched-mode power supply (SMPS). Such equipments as: home appliances (CD/DVD Players, MP3 Players), power supplies for laptop and computers, photovoltaic battery chargers, illumination, medical, and automotive devices, have a DC-DC converter working in switching mode. The SMPS have some advantages like: great efficiency, small size, light weight, low heat generation. Though, they have also some disadvantages like: complexity, generation of high amplitude and frequency energy, ripple voltage at switching and harmonic frequencies, interference with audio/video equipments. They are widely used especially for their efficiency. For these noisy equipments, electromagnetic interference (EMI) filters were designed to comply with the international electromagnetic compatibility (ECM) standards. The EMI of switched converters are very well discussed in literature. Most power supplies manufacturers the International Electro use Technical Commission (IEC) Federal or Communications Commission (FCC) rules for their products [1]. For automotive applications the level of EMI radiation standard is SAE J1113, and ISO 11452 [2]. Some methods to reduce the EMI spectrum of radiation are presented in [3], [4], and [5].

The purpose of this paper is to present the effect of the output capacitor of a SEPIC Converter on the power spectrum of radiation, the output voltage ripple and the efficiency. The paper is organized as follows. Section 2 presents a short SEPIC converter design about how to choose the output capacitor. The influence of the output capacitor is given in Section 3. The simulations are presented in section 4, and the schematic diagram and the experimental results are given in Section 5. The paper concludes with some final remarks in Section 6.

2 SEPIC converter design

A SEPIC Converter Design Example is given in [6]. The SEPIC converter shown in Fig. 1 uses two inductors – L1 and L2 wound on the same core to reduce the input ripple and the size of the converter. An AC model of the three terminal PWM switch in DCM of a SEPIC converter is presented in [7].

Step by step, the parameters of the converter are calculated in [6]. First, the duty cycle D (1),

$$D = \frac{V_o + V_D}{V_{in} + V_o + V_D} \tag{1}$$

where V_o is the output voltage, V_D is the forward voltage of the diode, and V_{in} is the input voltage of the converter.





1b. Current flow during Switch On-time



1c. Current flow during Switch Off-time

Fig. 1. SEPIC topology and current flow [6].

The maximum output voltage for a SEPIC converter is (2):

$$V_{o(\max)} = (V_{in} + V_D) \frac{D_{\max}}{1 - D_{\max}} - V_D \frac{1}{1 - D_{\max}}$$
(2)

The maximum currents in the inductors L1 and L2 are given by (3) and (4):

$$I_{L1(peak)} = (1 + \frac{\chi}{2}) I_{o(\max)} \frac{V_o + V_D}{V_{in(\min)}}$$
(3)

$$I_{L2(peak)} = (1 + \frac{\chi}{2}) I_{o(\max)} \frac{V_{in(\min)} + V_D}{V_{in(\min)}}$$
(4)

where χ is 0.2 to 0.4 (20% to 40% of the maximum average input current).

The inductor value is calculated in the equation (5).

$$L = \frac{V_{in(\min)}}{\Delta I_L f} D_{\max}$$
⁽⁵⁾

where ΔI_L is given by the equation (6).

$$\Delta I_L = \chi I_{o(\max)} \frac{D_{\max}}{1 - D_{\max}} \tag{6}$$

By making L1=L2 and winding them on the same core, the value of the inductance is 2L due to mutual inductance. Therefore, the equation (5) becomes (7).

$$L1 = L2 = \frac{V_{in(\min)}}{2\Delta I_{I}f} D_{\max}$$
⁽⁷⁾

The power MOSFET must satisfy the equation (8).

$$R_{DS(ON)} \le \frac{V_{sense(\max)}}{I_{o(\max)}} \frac{1}{(1+\frac{\chi}{2})\rho_T} \frac{1}{\frac{V_o + V_D}{V_{in(\min)}} + 1}$$
(8)

where $V_{sense(max)}$ is typically 150mV at low duty cycle and it is reduced to 100mV at maximum duty cycle, and ρ_T is the temperature coefficient, typically 0.4%/°C.

To maximize the efficiency of the converter, a fast switching diode with low forward voltage and low reverse leakage current is chosen. The peak reverse voltage is $V_{in(max)}+V_o$. The average forward current is equal to the output current, and is given by the equation (9).

$$I_{D(peak)} = (1 + \frac{\chi}{2})I_{o(\max)}(\frac{V_o + V_D}{V_{in(\min)}} + 1)$$
(9)

Special attention must be given to the output capacitor selection. All the parameters of the capacitor have a contribution on the ripple voltage of the converter. These parameters are: bulk capacitance, ESR (Equivalent Series Resistance), and ESL (Equivalent Series Inductance). Their effects are illustrated in Fig. 2.



Fig. 2. SEPIC converter switching waveforms [6].

When choosing the component(s) one has to begin with the maximum acceptable ripple voltage (expressed as a percentage of the output voltage), and how this ripple should be divided between the ESR step and the charging/discharging ΔV . To simplify, 2% for the maximum output ripple was chosen, which was divided equally between the ESR and the charging/discharging ΔV . This percentage ripple can be changed, depending on the requirements of the application.

For a 1% contribution to the total ripple voltage, the ESR of the output capacitor can be determined using the equation (10):

$$ESR_{Cout} \le \frac{0.01V_o}{I_{D(peak)}} \tag{10}$$

where $I_{D(peak)}$ is given by the equation (9).

Considering that the bulk C component contribution is 1% to the total ripple, the value of the capacity is given by (11).

$$C_{out} \ge \frac{I_{o(\max)}}{0.01 V_o f_{sw}} \tag{11}$$

where f_{sw} is the switching frequency of the converter. In most of the applications it is possible to choose a single capacitor that satisfies both the ESR and bulk C; but in others, the ripple voltage must be reduced significantly. This is done by connecting two ore more types of capacitors in parallel. The use of a ceramic capacitor with low ESR can minimize the ESR step, and an electrolytic or a tantalum capacitor can supply the required bulk C. This capacitor has a high RMS ripple current (12).

$$I_{RMS(Cout)} = I_{o(\max)} \sqrt{\frac{V_o}{V_{in(\min)}}}$$
(12)

It must be mentioned, that the ripple current rating is given by the manufacturer for only 2000 hours of life. For this reason, the designer has to choose a capacitor at a higher temperature than required.

Another component of the SEPIC converter is the coupling capacitor C1 of Fig. 1. The waveform of the current is almost rectangular – Fig. 2. The ripple voltage on this capacitor is (13).

$$\Delta V_{C1(pp)} = \frac{I_{o(\max)}}{C1f_{sw}} \frac{V_o}{V_{in} + V_o + V_D}$$
(13)

The maximum voltage on C1 is (14):

$$V_{C1(\max)} = V_{in} + \frac{\Delta V_{C1(pp)}}{2}$$
(14)

This value is close to $V_{in(max)}$. A low ESR ceramic or a tantalum capacitor is adequate.

3 Output capacitor influence

As it was mentioned above, the output capacitor influences the ripple voltage, the efficiency and the EMI radiation of the converter. The right choice of the capacitor gives the best result of a design. A study of some types of capacitors used for output capacitor is given in [8]. The ESR of the capacitors depends on of the frequency, operation temperature and the DC voltage.

The conclusions of the benchmarks described in [8] for the capacity stability are:

- tantalum- MnO_2 -technology capacitor is the best one

- niobium-oxide- MnO_2 devices are more sensitive to DC voltage

- tantalum-polymer is more sensitive to temperature changes

- MLCC (Multi Layers Ceramic Capacitors) are very dependent on both temperature and DC voltage - Aluminum-electrolytic capacitors are stable with DC voltage, but very dependent on temperature.

Concerning the ESR stability the conclusions are:

- all capacitors are relatively stable with the DC voltage,

- temperature dependence provokes great differences:

- tantalum-polymer and MLCC capacitors exhibit the most stable ESR

- the ESR of the MLCCs is very low over the whole temperature range

- for tantalum- MnO_2 and niobium oxide- MnO_2 devices, the ESR decreases as temperature increases

- Aluminum-electrolytic capacitors have a growing ESR to very high values at low temperatures (below 0° C).

For the behavior of the capacitors in frequency, the conclusions are:

- the ripple voltage using the tantalum- MnO_2 device has a lower level of higher harmonic components than the tantalum-polymer- MnO_2 ,

- the MLCC capacitors have undesirable oscillations with frequencies around 50kHz, and high AC voltage due to the instability of the regulator,

- Aluminum-electrolytic types did not perform well, having a relatively high AC voltage.

Because the Aluminum-electrolytic capacitors are most used in such converters – although they are not the best option, a study of the effect of the ESR is done in [9]. A technique for evaluating the condition of these capacitors is presented in [10].

4 Simulations

4.1 Simulations of the Functioning

The simulations for this paper were done with two circuits. The first circuit is a SEPIC converter using the circuit that was proposed by the manufacturer Linear Technology with the LTC1871 in [11]. The values of the components were changed to the next: R1=330k Ω (for a switching frequency of 52kHz), L1=L2=68µH (Coilcraft MSS7341-683MX), wound same core. $C_{DC}=220\mu F$ (Nichicon on the ULP1H221MRH6), power MOSFET **IRF7807** (International Rectifier), diode SS24 (Fairchild), Cout1=100µF (Panasonic EEFUD0J101R, I_{RMS}=3A, ESR=0.015Ω), Cout2=10µF (KEMET C0805C106K9PAC, I_{RMS}=11.832A, ESR=0.003Ω). The values of the rest of the components remained the same with those proposed in [11].



Fig. 3. SEPIC converter with LTC1871.

The circuit was simulated in LTspice IV, in the following conditions: V_{in} =4-16V, V_o =5V, I_o =0.5A. The circuit diagram of the SEPIC converter with LT1871 is presented in Fig. 3. The switching frequency was reduced to 52kHz, because the experimental results were done for a SEPIC converter at that frequency.

The second circuit simulated in OrCAD was proposed in [12], using the UC2577 (Unitrode). The schematic diagram is illustrated in Fig. 4.



Fig. 4. SEPIC converter with UC2577.

The simulations were done in the same conditions. The results of the simulations will be compared with the experimental results in the section 5.

4.2 The effect of the ESR of the output capacitor

The ESR value of the output capacitor must be mentioned in the equations, because it has an effect on the value of the voltage ripple of the converter. This value is considered like a resistance in series with the output capacitor in [13].

Equation (10) gives the relation between the value of the ESR and the ripple value of the output current. In order to verify this relation, simulations on OrCAD using the circuit presented in Fig. 4 were made. The simulations were done for two values of capacitance and different values of the ESR. The results were resumed in Table 1.

If it is considered that 2% is the maximum value of the output ripple is accepted, and it can be observed that the maximum value of the ESR accepted is $100m\Omega$.

Table 1						
Capacitance	ESR	Ripple	Percentage			
[µF]	$[m\Omega]$	[mVrms]	[%]			
	1	43.5	0.87			
	100	86.8	1.74			
	200	166.9	3.33			
100	300	254.5	5.09			
	400	320.3	6.41			
	500	362.7	7.25			
	1000	707.0	14.14			
	1	19.4	0.39			
	100	83.8	1.68			
	200	166.5	3.33			
200	300	240.7	4.81			
	400	318.1	6.36			
	500	368.0	7.36			
	1000	751.5	15.02			

5 Experimental results

The effects of the output capacitor were observed on a board with a SEPIC converter built with the controller UC2577 in Fig. 4 in the following conditions: V_{in} =4-16V, V_o =5V, I_o =0.5A.

The output voltage ripple was observed with an Oscilloscope LeCroy WaveJET334, 4 Channels, 300MHz. The frequency spectrum was observed with a Spectrum Analizer GW INSTEK GSP-830. The Start frequency was 10kHz, and the Stop frequency 1MHz while the reference level was - 50dBm or $80dB\mu V$.

The capacitors in tests are the most used in the electronic equipments made by different manufacturers, in different technologies, and by different materials.

5.1 The Output voltage ripple and the spectrum with different capacitors

In the Table 2 it can be observed the effect of the value of the capacitors and the technology on the output ripple of the converter. The input voltage of converter was set to 12V.

I able 2							
No.	Туре	Value	Manufacturer	Ripple	Percentage		
		[µF]		[mVrms]	[%]		
1	Al-el	100	SAMWHA	296	5.92		
2	Al-	100	Nippon	37	0.74		
	SC		Chemi-Con				
3	Al-el	2x100	SAMWHA	197	3.95		
		parallel					
4	Al-el	220	S.H.I.	38	0.76		
5	Al-el	220	Richey	172	3.44		
6	Al-el	220	Jamicon	63	1.27		
7	Та	2x470	AVX	43	0.86		
		series					
8	Та	470	AVX	22	0.44		

Accepting 2% as the maximum value of the voltage ripple, not all the capacitors can be used in such converters (position no. 1, 3 and 5). The abbreviations used in the Table 2 and next are: Al-el – Aluminum-electrolytic, Al-SC – Conductive Polymer Aluminum Solid Capacitor (or "polymer capacitor"), Ta – Tantalum.

The waveform of the voltage ripple with the solid capacitor Al-SC 100 μ F is illustrated in Fig. 5, with an Al-el 220 μ F (S.H.I.) in Fig. 6, and with a Tantalum capacitor of 470 μ F in Fig. 7.

In these figures the shape of the voltage ripple is the same. The peaks of these waveforms were ignored.





Fig. 6. Output voltage ripple with 220µF (S.H.I.).



Fig.7. Output voltage ripple with 470µF series Ta.

The frequency spectrum view with the capacitor of 100μ F Al-SC is presented in Fig. 8, and with a 220μ F (S.H.I.) in Fig. 9 – both situations at an input voltage of 12V.

The frequency spectrum of the voltage ripple with a capacitor of 100μ F Al-SC in parallel with a 10μ F MLCC respects the standard FCC Class A and B [1], but not the standard SAE J1113 [2]. This can be seen in Fig. 10.

An important reduction of spectrum can be obtained by adding a LC Filter. This aspect can be seen in Fig. 11, where the EMI radiation respects the standard SAE J1113. This technique is used in automotive applications, where the EMI spectrum must be as minimum as possible.







Fig. 9. Frequency spectrum with 220µF (S.H.I.).

5.2 Output voltage ripple with LC Filter

To reduce more the output voltage spectrum, a LC filter as in Fig. 12 was added (capacitor C5 of Fig. 4 was replaced by this circuit).







Fig. 11. Frequency spectrum with 100μ F Al + 10μ F MLCC, and a LC Filter.



Fig. 12. Output LC Filter added to Cout.

With the circuit of the Fig. 12, the output voltage ripple is illustrated in Fig. 13.

The frequency spectrum of the output ripple with the LC Filter compared with that in Fig. 8 is represented in Fig. 14. In this figure a reduction of the EMI radiation with minimum 12dBm in whole the frequency domain can be observed.







5.3 The output voltage ripple and the spectrum at different ESR of the output capacitor

To verify the results of the simulations in the experimental circuit, resistances in series with the output capacitor were added. The results obtained can be seen in Table 3.

Tuble 5							
Capacitance	External	Ripple	Percentage				
[µF]	R [mΩ]	[mVrms]	[%]				
	No	37	0.74				
100	100	67	1.34				
	200	114	2.28				
(AI-SC Ninnon	300	161	3.22				
Chemi Con)	400	203	4.06				
Chemi-Con)	500	243	4.86				
	1000	410	8.20				
	No	63	1.27				
220	100	113	2.26				
220	200	147	2.94				
(Al-el Jamiaan)	300	197	3.94				
Janneon)	400	233	4.66				
	500	267	5.34				
	1000	467	9.34				

According to Table 3, it can be affirmed that a maximum value of $100m\Omega$ can be accepted for the ESR to fulfill the condition of maximum 2% of the voltage ripple. Capacitors with the ESR higher than $200m\Omega$ could not be accepted because the ripple voltage increases.

The ESR of the coupling capacitor (C4 in Fig. 4) of the SEPIC Converter does not have an effect on the output ripple. During the measurements, by adding an external resistance of 1 to $1000m\Omega$ to the capacitor, the values of the voltage output ripple was 37 to 42mVrms.

It was observed the EMI spectrum of radiation for

different values of ESR. In Fig. 15, the EMI spectrum of the converter with a capacitor of 100μ F Al-SC in series with a resistor of $100m\Omega$ can be seen



Fig. 15. Frequency spectrum with 100μ F in series with $100m\Omega$.

The frequency spectrum of the converter with a capacitor of 100μ F Al-SC in series with a resistor of 200m Ω is represented in Fig. 16.



Fig. 16. Frequency spectrum with $100\mu F$ in series with $200m\Omega.$

Comparing Fig. 16 with Fig. 15, an increasing of the level of radiation of around 4dBm for the band of 500kHz - 1MHz can be observed.

The spectrum for an ESR of $500m\Omega$ of the output capacitor is presented in Fig. 17. The level of spectrum is increased with more 4dBm in the same band.

Also, in Fig. 15-17 can be observed the same level of the noise in all the situations mentioned above. This level is around -98dBm, and it can be seen in Fig. 18.



5.4 The output voltage ripple and efficiency versus input voltage

In order to see how the input voltage influences or which is the optimum domain to use the converter for the highest efficiency, a lot of measurements in the following conditions were made: $V_o=5V$, $I_o=0.5A$, and $C_{out}=100\mu F$ (Al-SC) for the first time. The results are in Table 4.



Fig.	18.	Frequency	spectrum	of noise.
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Table 4							
V _{in} [V]	4	5	6	7	8	9	10
P _i [W]	3.4	3.3	3.36	3.29	3.28	3.33	3.33
η [%]	73.5	75.8	74.4	76	76.2	75.8	75.8
ΔV _o [mVrms]	53.4	47.4	44.2	42.8	41.0	39.9	39.2
V _{in} [V]	11	12	13	14	15	16	
P _i [W]	3.41	3.36	3.38	3.5	3.45	3.52	
η [%]	73.3	74.4	74	71.4	72.5	71	
ΔV _o [mVrms]	38.5	37.1	37.1	37.1	37.1	37.1	

То	see	the	effect	of	a smal	ll 10µF	MLCO	C caj	pac	itor
in	para	llel	with	the	main	output	capac	itor	of	the
col	nvert	er,	meas	urer	nents	of effic	eiency	and	rip	ple
sui	nma	rizec	l in Ta	able	5 wer	e done.				

l able 5							
V _{in} [V]	4	5	6	7	8	9	10
P _i [W]	3.48	3.35	3.3	3.29	3.28	3.33	3.4
η [%]	71.8	74.6	75.7	76	76.2	75.8	73.5
ΔV _o [mVrms]	51.3	45.2	42.4	39.6	38.2	36.8	35.4
V _{in} [V]	11	12	13	14	15	16	
P _i [W]	3.41	3.72	3.77	3.78	3.75	3.84	
η [%]	73.3	67.2	66.3	66.1	66.7	65.1	
ΔV _o [mVrms]	35.0	34.2	33.5	32.8	32.8	32.8	

By adding the capacitor of 10μ F MLCC to the output capacitor of 100μ F Al-SC, the ripple is reduced but not significantly (less than 3mVrms). The same set of measurements were done for the main output capacitor of 220μ F (Al-el) Jamicon, $2x470\mu$ F in series (Ta) AVX, 470μ F (Ta) AVX and $2x470\mu$ F in parallel (Ta) AVX. The synthesis of these measurements can be seen in Table 6.

Capacitor	Average Efficiency [%]	Average ripple [mVrms]	Percentage [%]					
100µF SC	73.7	40.9	0.82					
100μF SC + 10μF MLCC	71.4	37.7	0.75					
220µF Al-el	73.7	57.1	1.12					
220μF Al-el + 10μF MLCC	73.9	48.5	0.97					
2x470μF series Ta	73.9	55.8	1.12					
2x470μF series Ta + 10μF MLCC	74.1	47.7	0.95					
470µF Ta	73.8	30.8	0.62					
470μF Ta + 10μF MLCC	74	28.6	0.57					
2x470μF Ta parallel	74	18.1	0.36					
2x470μF Ta parallel + 10μF MLCC	74.1	16.7	0.33					

The variation of the efficiency of the converter with different output capacitors versus the input voltage can be seen in Fig. 19.

The variation of the output voltage ripple of the converter with different output capacitors versus the input voltage can be seen in Fig. 20.

Analyzing the average values of the voltage ripple presented in Table 6 and Figures 19 and 20,

observations can be made:

- a capacitor of 10μF MLCC in parallel with a 100μF Al-SC reduces the voltage ripple in an insignificant way (only 3mVrms);
- by adding the same capacitor of 10µF MLCC in parallel with a capacitor of 220µF Al-el or with a 2x470µF series Ta, the efficiency is not affected, but the voltage ripple is reduced with around 8mVrms;
- the effect on the voltage ripple of this 10μF MLCC capacitor in parallel with 470μF or more is not significant (less than 2mVrms);
- the average voltage ripple is between 17 and 57mVrms. These values are in correspondence with the values given in [14];
- the output voltage ripple decreases with the input voltage of the converter;

About the efficiency of the converter versus the input voltage the following observations can be made:

- the efficiency has the same shape with the one given by the producer (Unitrode) in [12] and it has the values between 71 and 76%;
- the maximum efficiency mentioned in the data sheet is 80% for an input voltage of 5V and 800mA load. The efficiency of a SEPIC converter can be higher than 80% with high output currents like it is proposed in [15];
- the efficiency has the same values for the input voltage from 11 to 16V for all the output capacitors;
- the maximum efficiency of the converter is for the input voltage between 5 and 9V;
- the efficiency is not affected by adding a capacitor of $10\mu F$ MLCC in parallel with the main output capacitor.



Fig. 19. Efficiency versus input voltage.

6 Conclusions

This paper has presented some types of capacitors used as output capacitor of a SEPIC converter and how they affect the EMI spectrum, the output voltage ripple and the efficiency. The converter was designed and simulated in LTspice IV for the LTC1871, and in OrCAD with an LM2577.



Fig. 20. Output voltage ripple versus input voltage.

A board was built with an LM2577 to verify the output ripple, the efficiency and the EMI spectrum of radiation. The converter with some output capacitors can respect the FCC standards, but not the SAE J1113. By adding a LC filter, the converter can satisfy the requirements of the SAE J1113 standard. The output voltage ripple is reduced by adding a small capacitor of 10µF MLCC to a regular 220µF Al-el capacitor, but not for 100µF SC or 470µF Ta. In order to have a small output voltage ripple the SEPIC converter must be used at an input voltage greater than 11V (the LM2577 can be used until 40V). If the application requires high efficiency, the SEPIC converter must be used at an input voltage from 5 to 9V. All of these conclusions are applied for a SEPIC converter with $V_0=5V$ and $I_0=0.5A$ at a switching frequency of 52kHz.

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