

Improved Characteristics of Ultra-Thin Cerium Dioxide with Rapid Thermal Annealing

Kuo Cheng Chiang, Jer Chyi Wang, and Tan Fu Lei

Department of Electronic Engineering and Institute of Electronics
National Chiao Tung University, Hsinchu, Taiwan, R.O.C.

ABSTRACT

In this study, the characteristics of ultra-thin cerium dioxide with rapid thermal annealing are investigated. The cerium dioxide film with post-deposition rapid thermal annealing exhibits the thin effective oxide thickness (EOT ~1.4nm for the RTA950°C sample) and superior properties. Based on the experimental results, the rapid thermal annealing can effectively improve the reliability and quality of the cerium dielectric film owing to the elimination of traps in the dielectrics and interfacial layer between CeO₂/Si. In addition, the cerium dioxide has excellent thermal stability on Si substrate and high temperature to crystallize. The cerium dioxide with post-deposition rapid thermal annealing is a potential candidate for the future ultra-large scale integrated circuit (ULSI) applications.

I. INTRODUCTION

Recently, many high dielectric constant (high-k) materials like Al₂O₃, ZrO₂, and HfO₂ [1]-[4] have been widely studied to replace the silicon dioxide owing to the inevitability of its high leakage as the thickness scales down. Gate dielectric materials with a high dielectric constant, a low interface state density and a good thermal stability appear to be promising for next generation gate dielectric applications. Moreover, materials with too lower or too higher dielectric constant may not be adequate choice for alternative gate dielectric application. Ultra high-k materials such as STO or BST may cause fringing field induced barrier lowering effect [5]. And materials having relatively low dielectric constant such as Al₂O₃ and Y₂O₃ do not provide sufficient advantages over SiO₂ or Si₃N₄ [6]. Cerium dioxide (CeO₂), which has been extensively researched for its use as a buffer layer for YBa₂Cu₃O_(7-x) (YBCO) on sapphire [7], a buried insulator for silicon-on-insulator (SOI) [8] and PbZrTiCeO₃ (PZT) ceramics [9], has lately been used as gate dielectric material [10]. Many superior properties of cerium dioxide, such as a lattice nearly matched to that of silicon (a=0.5411nm) and a sufficiently high dielectric constant (~26) ensure its high thermal stability on silicon and high scaling capacity. In this study, the electrical and physical characteristics of ultra-thin cerium dielectrics with

rapid thermal annealing are investigated.

II. EXPERIMENT

Al/CeO₂/n-Si capacitors of an area of 6.36×10^{-5} cm² were fabricated on 4 inch n-type (100)-oriented Si wafers. Figure 1 shows key process flows of cerium dielectrics with post-deposition anneal (PDA). All samples were first cleaned by a standard Radio Corporation of America clean. The CeO₂ film was then deposited by electron beam evaporation. After the gate dielectric had been formed, the samples were treated by rapid thermal anneal (RTA) at 600 ~ 950°C for 60s in N₂ ambient. Then, a 5000 Å Al film was deposited on the CeO₂ film by a thermal coater. After that, the gate of the capacitor was defined lithographically and etched. Finally, a 5000 Å Al film was also deposited on the backside of the wafer to form the ohmic contact. There may be an interfacial oxide layer between CeO₂ and Si. Therefore, the barrier parameters to be discussed in this paper are "effective" values that include the effects of these interfacial layers. The effective oxide thickness (EOT) was estimated by the high frequency (0.1 MHz) capacitance versus voltage (C-V) curve in the strong accumulation region without considering quantum mechanical effects. The electrical properties were measured by using an HP 4156B semiconductor parameter analyzer and an HP4284A precision LCR meter.

III. RESULTS AND DISCUSSION

Figure 2 shows the J-E characteristics of these samples. The breakdown electric field becomes larger and the leakage current density decreases as the annealing temperature increases. It should be noted that CeO₂ still has excellent electrical performance, even through the high temperature annealing up to 950°C. It is seemed that the CeO₂ film does not crystallized after high temperature RTA treatment. Figure 3 shows the high frequency (0.1MHz) capacitance versus gate voltage (C-V) characteristics of cerium dielectrics with rapid thermal annealing at different annealing temperature. We can see that as the annealing temperature increases, the EOT decreases simultaneously. High temperature annealing can densify the CeO₂ film and

make the dielectrics more stoichiometric. Besides, there are some distortions for the as-deposited sample and the sample with RTA at 600°C. We believe that it is owing to the serious interface state (fast traps) at the CeO₂/Si substrate interface [11]. Ultra high temperature annealing may help to improve this distortion.

Figure 4~7 show the high resolution transmission electron microscopy (HRTEM) images of the cerium dioxide without treatment and with rapid thermal annealing at 600~950°C, respectively. For the TEM image of the as-deposited sample in Fig. 4, the physical thickness of the CeO₂ film is about 54 Å and obviously there is an interfacial layer (IL) about 18 Å existing between CeO₂ and the Si substrate. From Fig.5 to Fig.7, we can find that as the annealing temperature increases, the thickness of the CeO₂ film becomes thinner and densified. Besides, it is apparent that the interfacial layer thickness gradually decrease and finally almost disappear. Therefore, we can rationally suppose that the high temperature annealing can reduce the interfacial layer and improve the cerium dielectrics. The HRTEM results can explain the C-V characteristics of cerium dielectrics, as shown in Fig.3.

Figure 8 shows the Weibull plots of the leakage current density at $V_g=1V$ for the cerium dioxide treated by RTA at different temperature. We can observe the RTA treatment improves not only the leakage current density but also the distribution uniformity (Weibull slope). Figure 9 shows the Weibull plots of the dielectric breakdown voltage for the samples treated by RTA. As the RTA temperature increases, the breakdown voltage is raised from 1.4V to 2.3V. The Weibull plots of time to breakdown for the samples treated by RTA is presented in Figure 10. The samples are stressed at $V_g=1V$. Obviously, the time-dependent dielectric breakdown (TDDB) is improved after high temperature RTA treatment. Furthermore, the RTA treatment can effectively improve the reliability of the cerium dielectric film owing to the elimination of traps in the dielectrics and interfacial layer between CeO₂/Si.

Figure 11~12 show the Auger electron spectrometer (AES) analysis of the as-deposited and RTA 950°C samples respectively. From Fig.11, we can find the apparently interfacial layer between CeO₂ and Si substrate (marked in this figure). After the RTA 950°C treatment in Fig.12, the composition of CeO₂ film is still stable and the interfacial layer becomes smaller. Figure. 13~14 show the Ce3f electron spectroscopy for the chemical analysis (ESCA) spectra of the as-deposited and RTA 950°C samples. A take-off angle (TOA) of 90° was used to measure the ESCA spectra. The peaks of Ce-O bonding are respectively at 884 eV (Ce3d_{3/2}) and 902 eV (Ce3d_{5/2}). We can find the peaks and profiles of the as-deposited and RTA 950°C samples are almost the same. It means that any other bonding, especially

Ce-Si bonding, does not formed in the cerium dielectrics after high temperature RTA treatment. Therefore, the cerium dielectric has excellent thermal stability on Si substrate.

IV. CONCLUSIONS

In conclusion, we propose an ultra-thin cerium dioxide with post-deposition rapid thermal annealing, which exhibits the thin EOT (~1.4nm for the RTA950°C sample) and superior properties. Based on the experimental results, the rapid thermal annealing can effectively improve the reliability and quality of the cerium dioxide owing to the elimination of traps in the dielectrics and interfacial layer between CeO₂/Si. Besides, the cerium dioxide has excellent thermal stability on Si substrate and high temperature to crystallize. Therefore, it can be the candidate for the future ultra-large scale integrated circuit (ULSI) applications.

ACKNOWLEDGEMENT

The authors would like to thank the National Science Council of the Republic of China, Taiwan, for financially supporting this research under Contract No. NSC92-2215-E009-022. The National Nano Device Laboratory, R.O.C. is also appreciated for their technical assistance.

REFERENCES

- [1] J. Kolodzey, E. A. Chowdhury, T. N. Adam, Q. Guohua, I. Rau, J. O. Olowolafe, J. S. Suehle, and C. Yuan, "Electrical conduction and dielectric breakdown in aluminum oxide insulators on silicon," *IEEE Trans. on Electron Devices*, vol. 47, no. 1, pp. 121-128, Jan. 2000.
- [2] Yanjun Ma, Yoshi Ono, Lisa Stecker, David R. Evans, and S. T. Hsu, "Zirconium oxide based gate dielectrics with equivalent oxide thickness of less than 1.0 nm and performance of submicron MOSFET using a nitride gate replacement process," in *IEDM Tech. Dig.*, 1999, pp. 136-139.
- [3] C. Hobbs, H. Tseng, K. Reid, B. Taylor, L. Dip, L. Hebert, R. Garcia, R. Hegde, J. Grant, D. Gilmer, A. Franke, V. Dhandapani, M. Azrak, L. Prabhu, R. Rai, S. Bagchu, J. Conner, S. Backer, F. Dumbuya, B. Nguyen, and P. Tobin, "80 nm poly-Si gate CMOS with HfO₂ gate dielectric," in *IEDM Tech. Dig.*, 2001, pp. 30.1.1-30.1.4.
- [4] S. B. Samavedam, L. B. La, J. Smith, S. Dakshina-Murthy, E. Luckowski, J. Schaeffer, M. Zavala, R. Martin, V. Dhandapani, D. Triyoso, H. H. Tseng, P. J. Tobin, D. C. Gilmer, C. Hobbs, W. J. Taylor, J. M. Grant, R. I. Hegde, J. Mogab, C.

Thomas, P. Abramowitz, M. Moosa, J. Conner, J. Jiang, V. Arunachalam, M. Sadd, B-Y. Nguyen and B. White, "Dual-metal gate CMOS with HfO₂ gate dielectric," in *IEDM Tech. Dig.*, pp. 433-436, 2002.

[5] B.Cheng et al, "The impact of high- κ gate dielectric and metal gate electrodes on sub-100nm MOSFETs", *IEEE Tran.Electron Device*, 46, 1537, (1999)

[6] Laegu Kang et al, "Ultra thin Hanium Oxide with Low Leakage and Excellent Reliability for Alternative Gate Dielectric Application" *IEDM Tech.Dig.*, p.633, 1999

[7] F. Wang, and R. Wordenweber, "Large-area epitaxial CeO₂ buffer layers on sapphire substrates for the growth high quality YBa₂Cu₃O₇ films," *Thin Solid Films*, vol. 227, pp. 200-204, 1993.

[8] C. A. Copetti, H. Soltner, J. Schubert, W. Zander, O. Hollricher, Ch. Buchal, H. Schulz, N. Tellman, and N. Klein, "High quality epitaxy of YBCO on silicon-on-sapphire with the multiple buffer layer YSZ/CeO₂," *Appl. Phys. Lett.*, vol. 63, pp. 1429-1432, 1993.

[9] J.-H. Yoo, Y.-W. Lee, S.-M. Hwang, H.-S. Yoon, H.-S. Jeong, J.-S. Kim, and C.-S. Yoo, "Piezoelectric properties of PNW-PMN-PZT ceramics for high power piezoelectric transformer," in *2000 ISAF Symp. on Appl. of Ferro.*, vol. 1, pp. 495-498, 2001.

[10] L. Tye, N. A. El-Masry, T. Chikyow, P. McLarty, and S. M. Bedair, "Electrical characteristics of epitaxial CeO₂ on Si(111)," *Appl. Phys. Lett.*, vol. 65, no. 24, pp. 3081-3083, 1994.

[11] Ghetti, A.; Sangiorgi, E.; Bude, J.; Sorsch, T.W.; Weber, " Tunneling into interface states as reliability monitor for ultrathin oxides", *IEEE Trans. on Electron Devices*, Vol 47 , pp: 2358-2365, Dec. 2000

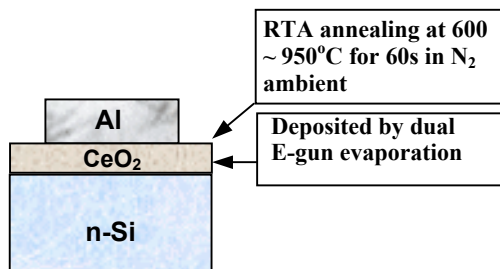


Fig.1 Key process flows of the fabrication for cerium dielectrics with post-deposition anneal (PDA).

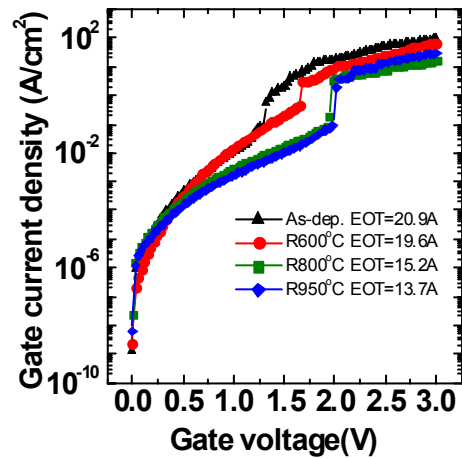


Fig.2 The J-E characteristics of cerium dielectrics with RTA at different temperature.

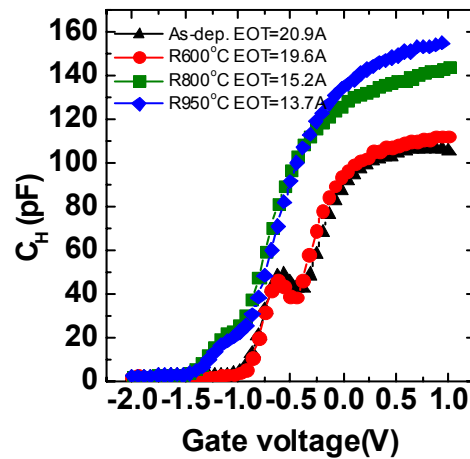


Fig.3 The high frequency (100kHz) C-V characteristics of cerium dielectrics with RTA at different temperature.

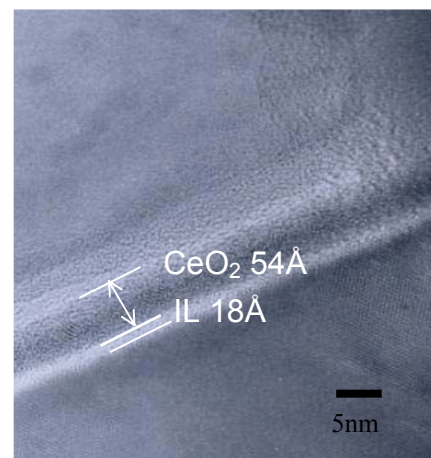


Fig.4 The TEM image of the cerium dioxide without RTA treatment

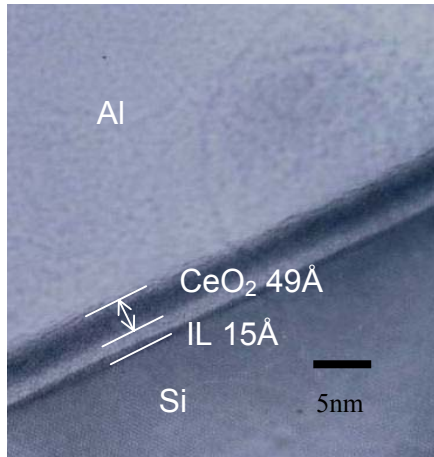


Fig.5 The TEM image of the cerium dioxide with RTA 600°C.

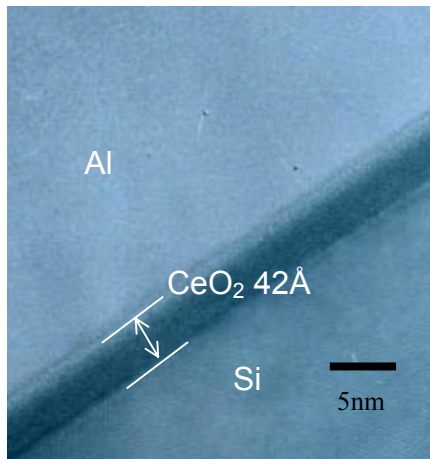


Fig.6 The TEM image of the cerium dioxide with RTA 800°C.

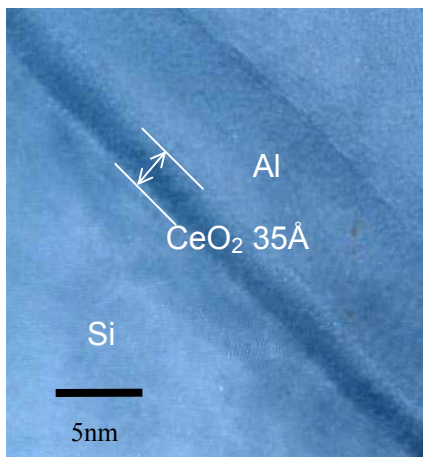


Fig.7 The TEM image of the cerium dioxide with RTA 950°C.

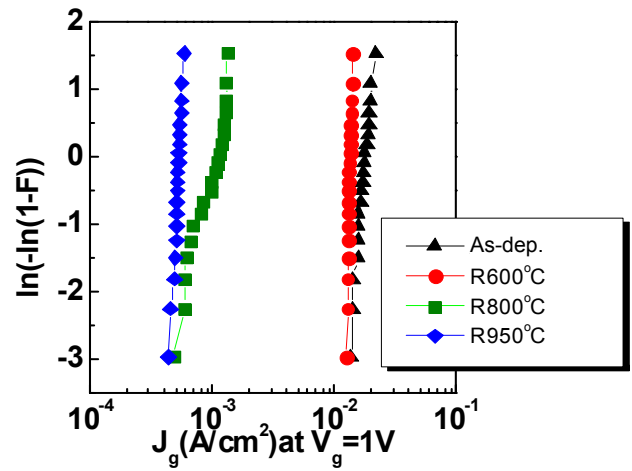


Fig.8 Weibull plots of the leakage current density at $V_g=1V$ for the samples treated by RTA.

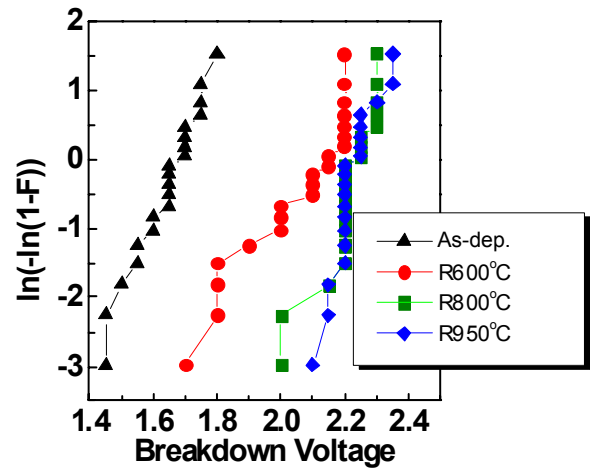


Fig.9 Weibull plots of dielectric breakdown voltage for the samples treated by RTA.

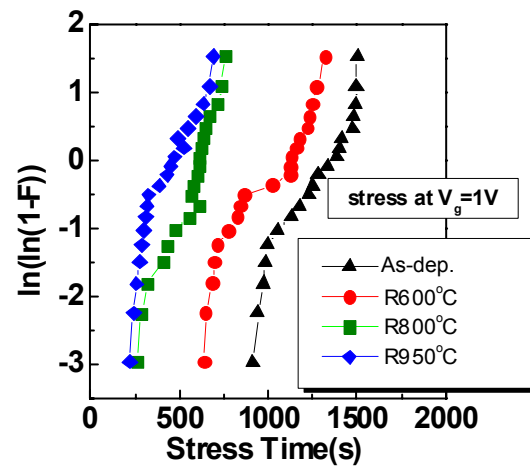


Fig.10 Weibull plots of time to breakdown for the samples treated by RTA.

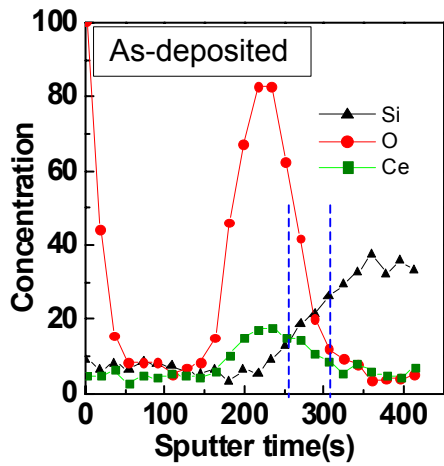


Fig.11 AES analysis of the as-deposited sample.

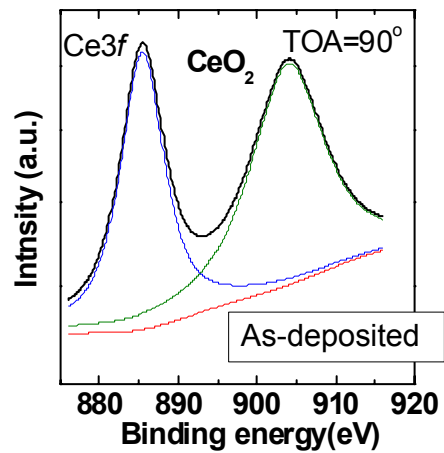


Fig.13 Ce3f ESCA spectra of the as-deposited sample.

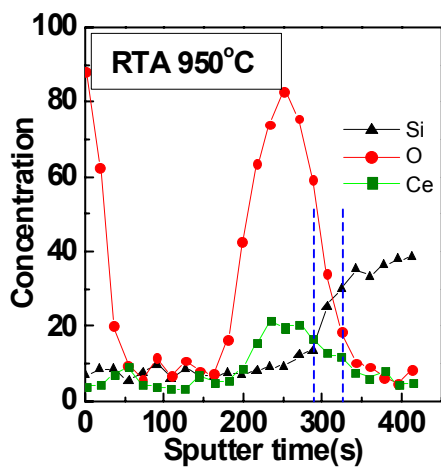


Fig.12 AES analysis of the sample treated by RTA 950°C.

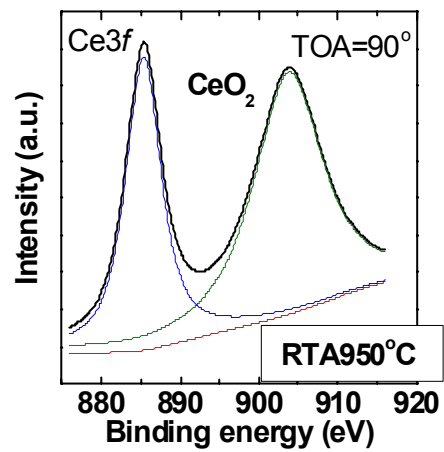


Fig.14 Ce3f ESCA spectra of the sample treated by RTA 950°C.