

New Method for Constructing Polyphase ZCZ Sequence sets

HIDEYUKI TORII*, MAKOTO NAKAMURA* and NAOKI SUEHIRO**

*Department of Information Network Engineering
Kanagawa Institute of Technology
1030 Shimo-ogino, Atsugi, Kanagawa 243-0292
JAPAN

**Graduate School of System & Information Engineering
University of Tsukuba
1-1-1 Tennoudai, Tsukuba, Ibaraki 305-0006
JAPAN

Abstract: - In this paper, we propose a new method for constructing polyphase ZCZ sequences. The proposed sequences are composed of polyphase perfect sequences and polyphase unitary matrices. By this method, we can obtain polyphase ZCZ sequences which are not known yet. For example, as compared with the conventional method, quadriphase ZCZ sequences with wide zero correlation zones can be obtained by the proposed method.

Key-Words: - Zero correlation zone, Polyphase ZCZ sequences, Perfect sequences, Orthogonal sequences

1 Introduction

The set of sequences having low out-of-phase autocorrelation values and low cross-correlation values plays an important part in typical DS-CDMA systems. A periodic sequence with zero out-of-phase autocorrelation values is called a perfect sequence or an orthogonal sequence. That is, a perfect sequence is a periodic sequence with an ideal autocorrelation property. Similarly, a set of periodic sequences with zero cross-correlation values is called a set of uncorrelated sequences. That is, a set of uncorrelated sequences is a set of sequences with an ideal cross-correlation property. However, it is impossible to find a set of sequences with both the ideal autocorrelation and cross-correlation properties.

If periodic sequences have zero out-of-phase autocorrelation and cross-correlation values within the limits of $|\tau| \leq T$, the sequences are called zero correlation zone (ZCZ) sequences, where τ is a time shift variable and T is an integer. ZCZ sequences were first studied by Suehiro. In [1], he proposed a signal design method for approximately synchronized CDMA (AS-CDMA) systems by using a kind of polyphase ZCZ sequence set. Later, the concept of zero correlation zone was definitely proposed by Fan et al., and then several classes of binary and nonbinary ZCZ sequences derived from complementary pairs or sets were proposed [2]-[3]. Moreover, Matsufuji et al. [5] proposed several classes

of polyphase ZCZ sequences of period $N_1 N_2$, where N_1 and N_2 are relatively prime.

In this paper, we propose a new method for constructing polyphase ZCZ sequences. By this method, we can obtain polyphase ZCZ sequences which are not known yet. For example, in comparison with the conventional method, the quadriphase ZCZ sequences obtained from the proposed method have wide zero correlation zones. To give an example, a quadriphase ZCZ sequence set with $(L, U, T) = (64, 4, 8)$ can be generated by the method based on quadriphase complementary pairs, where L is a period of sequences, U is the number of sequences, and T is a width of zero correlation zone. On the other hand, a quadriphase ZCZ sequence set with $(L, U, T) = (64, 4, 14)$ can be generated by the proposed method.

In section 2, the method for constructing polyphase ZCZ sequences is described in detail. In section 3, we give a proof that the proposed sequences are ZCZ sequences.

2 Polyphase ZCZ Sequences

In this section, we propose a new method for constructing polyphase ZCZ sequences. The polyphase ZCZ sequences are derived from polyphase perfect sequences and polyphase unitary matrices.

Let $A_0 = (a_0^0, a_1^0, \dots, a_{L-1}^0)$ be a polyphase perfect

zones wider than the conventional method, you have to select a quadriphase perfect sequence of period 16 or 8 as A_0 .

We now give another example. Suppose that $l=9$, $l_0=3$, $l_1=3$, and $A_0=(000012021)$, then three perfect sequences are derived from the formula (2). Those perfect sequences are represented as:

$$\begin{aligned} A_0 &= (000012021), \\ A_1 &= (012021000), \\ A_2 &= (021000012), \end{aligned}$$

where 0, 1, 2 represents 1, $\exp(2\pi j/3)$, $\exp(4\pi j/3)$ respectively. Furthermore, let B_1 and B_2 be

$$B_1 = B_2 = \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 1 & 1 \\ 1 & \exp(2\pi j/3) & \exp(4\pi j/3) \\ 1 & \exp(4\pi j/3) & \exp(2\pi j/3) \end{bmatrix},$$

then we can generate the following ZCZ sequence set with $(L,U,T)=(81,3,21)$ by using the above-mentioned method.

$$\begin{aligned} C_0^2 &= (000012021000120210000201102 \\ &\quad 000012021111201021222120021 \\ &\quad 000012021222012102111012210), \\ C_1^2 &= (012021000012102222012210111 \\ &\quad 012021000120210000201102000 \\ &\quad 012021000201021111120021222), \\ C_2^2 &= (021000012021111201021222120 \\ &\quad 021000012102222012210111012 \\ &\quad 021000012210000120102000201). \end{aligned}$$

The autocorrelation function of C_0^2 is given by

$$\begin{aligned} |R_0(\tau)| &= (81,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0, \\ &\quad 9,9,0,9,9,0,0,0,9,9,0,9,9,0,0,0,0, \\ &\quad 0,0,0,0,9,9,0,9,9,0,0,0,9,9,0,9,9, \\ &\quad 0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0) \end{aligned}$$

The cross-correlation function between C_0^2 and C_1^2 is also given by

$$\begin{aligned} |R_{0,1}(\tau)| &= (0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0, \\ &\quad 9,18,54,18,9,0,0,0,9,18,27,18,9,0,0,0, \\ &\quad 0,0,0,0,0,0,9,9,0,9,9,0,0,0,9,9,0,9,9, \\ &\quad 0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0) \end{aligned}$$

3 Proof of Theorem 1

In this section, we give a proof of **Theorem 1**, that is,

we prove that the proposed sequences are ZCZ sequences with $(L,U,T)=(l \cdot l_1^n, l_1, (l-2) \cdot l_1^{n-1})$.

Let $R_{i_0, i_1}^A(\tau)$, $(0 \leq \tau \leq l-1)$ be the correlation function between A_{i_0} and A_{i_1} . If $i_0 = i_1$, $R_{i_0, i_1}^A(\tau)$ denotes the autocorrelation function, and if $i_0 \neq i_1$, $R_{i_0, i_1}^A(\tau)$ denotes the cross-correlation function. Since A_i is derived from shifting the perfect sequence A_0 cyclically, the correlation function $R_{i_0, i_1}^A(\tau)$ is represented as:

$$\begin{aligned} R_{i_0, i_1}^A(\tau) &= \sum_{k=0}^{l-1} a_k^{i_0} \cdot a_{k+\tau}^{i_1*} \\ &= \sum_{k=0}^{l-1} a_{i_0 \cdot l_0 + k}^0 \cdot a_{i_1 \cdot l_0 + k + \tau}^0 \\ &= \begin{cases} l & (\tau = (i_0 - i_1) \cdot l_0 \pmod{l}) \\ 0 & \text{otherwise} \end{cases}. \end{aligned} \quad (7)$$

Similarly, let $R_{i_0, i_1}^n(\tau)$, $(0 \leq \tau \leq l \cdot l_1^n - 1)$ be the correlation function between $C_{i_0}^n$ and $C_{i_1}^n$. The correlation function $R_{i_0, i_1}^n(\tau)$ is also represented as:

$$R_{i_0, i_1}^n(\tau) = \sum_{k=0}^{l \cdot l_1^n - 1} c_k^{i_0} \cdot c_{k+\tau}^{i_1*} \quad (8)$$

Now we prove **Theorem 1** by means of mathematical induction.

When $n=1$, the correlation function $R_{i_0, i_1}^1(\tau)$ is calculated as:

$$\begin{aligned} R_{i_0, i_1}^1(\tau) &= \sum_{k=0}^{l_1-1} c_k^{1, i_0} \cdot c_{k+\tau}^{1, i_1*} \\ &= \sum_{k=0}^{l_1-1} b_{i_0, k}^1 \cdot c_{[k/l_1]}^{0, k \pmod{l_1}} \\ &\quad \cdot b_{i_1, k+\tau}^{1*} \cdot c_{[(k+\tau)/l_1]}^{0, k+\tau \pmod{l_1}*} \\ &= \sum_{k=0}^{l_1-1} b_{i_0, k}^1 \cdot a_{[k/l_1]}^{k \pmod{l_1}} \\ &\quad \cdot b_{i_1, k+\tau}^{1*} \cdot a_{[(k+\tau)/l_1]}^{k+\tau \pmod{l_1}*}. \end{aligned} \quad (9)$$

The variables k and τ can be described as:

$$\begin{aligned} k &= k_0 \cdot l_1 + k_1, \quad 0 \leq k_0 \leq l-1, \quad 0 \leq k_1 \leq l_1-1, \\ \tau &= \tau_0 \cdot l_1 + \tau_1, \quad 0 \leq \tau_0 \leq l-1, \quad 0 \leq \tau_1 \leq l_1-1. \end{aligned} \quad (10)$$

From the formulas (7), (9), and (10),

$$\begin{aligned}
R_{i_0, i_1}^1(\tau) &= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1+\tau_1 \pmod{l_1}}^{1*} \sum_{k_0=0}^{l_1-1} a_{k_0}^{k_1} \cdot a_{k_0+\tau_0+[(k_1+\tau_1)/l_1]}^{k_1+\tau_1 \pmod{l_1}*}, \\
&= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1+\tau_1 \pmod{l_1}}^{1*} \\
&\quad \cdot R_{k_1, k_1+\tau_1 \pmod{l_1}}^A(\tau_0 + [(k_1 + \tau_1)/l_1]).
\end{aligned} \tag{11}$$

From the formulas (7) and (11), if $\tau_1 = \tau_0 = 0$,

$$\begin{aligned}
R_{i_0, i_1}^1(\tau) &= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1}^{1*} \cdot R_{k_1, k_1}^A(0) \\
&= l \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1}^{1*} = \begin{cases} l \cdot l_1 & (i_0 = i_1) \\ 0 & \text{otherwise} \end{cases}.
\end{aligned} \tag{12}$$

Similarly, if $\tau_1 = 0$ and $\tau_0 \neq 0$,

$$R_{i_0, i_1}^1(\tau) = \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1}^{1*} \cdot R_{k_1, k_1}^A(\tau_0) = 0. \tag{13}$$

From the formulas (12) and (13), if $\tau_1 = 0$,

$$R_{i_0, i_1}^1(\tau) = \begin{cases} l \cdot l_1 & (\tau_0 = 0 \text{ and } i_0 = i_1) \\ 0 & \text{otherwise} \end{cases}. \tag{14}$$

On the other hand, suppose that $\tau_1 \neq 0$, we can obtain the following formula.

$$l_0 \leq (l_1 - \tau_1) \cdot l_0 \pmod{l} \leq l - l_0. \tag{15}$$

If $0 \leq \tau_0 \leq l_0 - 2$,

$$0 \leq \tau_0 + [(k_1 + \tau_1)/l_1] \leq l_0 - 1, \tag{16}$$

since $0 \leq [(k_1 + \tau_1)/l_1] \leq 1$. From the formulas (7), (11), (15), and (16), if $\tau_1 \neq 0$ and $0 \leq \tau_0 \leq l_0 - 2$,

$$R_{i_0, i_1}^1(\tau) = 0. \tag{17}$$

Similarly, if $l - l_0 + 1 \leq \tau_0 \leq l - 1$,

$$l - l_0 + 1 \leq \tau_0 + [(k_1 + \tau_1)/l_1] \leq l. \tag{18}$$

From the formulas (7), (11), (15), and (18), if $\tau_1 \neq 0$ and $l - l_0 + 1 \leq \tau_0 \leq l - 1$,

$$R_{i_0, i_1}^1(\tau) = 0. \tag{19}$$

Furthermore, suppose that $\tau_0 = l_0 - 1$, then

$$l_0 - 1 \leq \tau_0 + [(k_1 + \tau_1)/l_1] \leq l_0. \tag{20}$$

Therefore, if and only if $\tau_1 = l_1 - 1$ and $k_1 \geq 1$, the following equation is satisfied.

$$\tau_0 + [(k_1 + \tau_1)/l_1] = (l_1 - \tau_1) \cdot l_0 \pmod{l} = l_0. \tag{21}$$

From the formulas (7) (11), and (21), if $\tau_1 \neq 0$ and $\tau_0 = l_0 - 1$,

$$R_{i_0, i_1}^1(\tau) = \begin{cases} l \sum_{k_1=1}^{l_1-1} b_{i_0, k_1}^1 \cdot b_{i_1, k_1-1}^{1*} & (\tau_1 = l_1 - 1) \\ 0 & \text{otherwise} \end{cases}. \tag{22}$$

Similarly, suppose that $\tau_0 = l - l_0$, then

$$l - l_0 \leq \tau_0 + [(k_1 + \tau_1)/l_1] \leq l - l_0 + 1. \tag{23}$$

Therefore, if and only if $\tau_1 = 1$ and $k_1 \leq l_1 - 2$, the following equation is satisfied.

$$\tau_0 + [(k_1 + \tau_1)/l_1] = (l_1 - \tau_1) \cdot l_0 \pmod{l} = l - l_0. \tag{24}$$

From the formulas (7), (11), and (24), if $\tau_1 \neq 0$ and $\tau_0 = l - l_0$,

$$R_{i_0, i_1}^1(\tau) = \begin{cases} l \sum_{k_1=0}^{l_1-2} b_{i_0, k_1}^1 \cdot b_{i_1, k_1+1}^{1*} & (\tau_1 = 1) \\ 0 & \text{otherwise} \end{cases}. \tag{25}$$

From the formulas (14), (17), (19), (22), and (25), if $0 \leq \tau \leq l - 2$ or $l \cdot l_1 - l + 2 \leq \tau \leq l \cdot l_1 - 1$,

$$R_{i_0, i_1}^1(\tau) = \begin{cases} l \cdot l_1 & (\tau = 0 \text{ and } i_0 = i_1) \\ 0 & \text{otherwise} \end{cases}. \tag{26}$$

Note that $\tau = \tau_0 \cdot l_1 + \tau_1$. The formula (26) shows that the set C_1 is a ZCZ sequence set with $(L, U, T) = (l \cdot l_1, l_1, l - 2)$.

Now, suppose that the set C_{n-1} , ($n \geq 2$) is a ZCZ sequence set with $(L, U, T) = (l \cdot l_1^{n-1}, l_1, (l - 2) \cdot l_1^{n-2})$, i.e., if $0 \leq \tau \leq (l - 2) \cdot l_1^{n-2}$ or $l \cdot l_1^{n-1} - (l - 2) \cdot l_1^{n-2} \leq \tau \leq l \cdot l_1^{n-1} - 1$,

$$R_{i_0, i_1}^{n-1}(\tau) = \begin{cases} l \cdot l_1^{n-1} & (\tau = 0 \text{ and } i_0 = i_1) \\ 0 & \text{otherwise} \end{cases}. \tag{27}$$

Then, the correlation function $R_{i_0, i_1}^n(\tau)$ is calculated as:

$$\begin{aligned}
R_{i_0, i_1}^n(\tau) &= \sum_{k=0}^{l \cdot l_1^{n-1} - 1} c_k^{n, i_0} \cdot c_{k+\tau \pmod{l \cdot l_1}}^{n, i_1*} \\
&= \sum_{k=0}^{l \cdot l_1^{n-1} - 1} b_{i_0, k \pmod{l_1}}^{n*} \cdot c_{[k/l_1]}^{n-1, k \pmod{l_1}} \\
&\quad \cdot b_{i_1, k+\tau \pmod{l_1}}^{n*} \cdot c_{[(k+\tau)/l_1]}^{n-1, k+\tau \pmod{l_1}*}.
\end{aligned} \tag{28}$$

The variables k and τ can be described as:

$$\begin{aligned}
k &= k_0 \cdot l_1 + k_1, \quad 0 \leq k_0 \leq l \cdot l_1^{n-1} - 1, \quad 0 \leq k_1 \leq l_1 - 1, \\
\tau &= \tau_0 \cdot l_1 + \tau_1, \quad 0 \leq \tau_0 \leq l \cdot l_1^{n-1} - 1, \quad 0 \leq \tau_1 \leq l_1 - 1.
\end{aligned} \tag{29}$$

From the formulas (28) and (29),

$$\begin{aligned}
R_{i_0, i_1}^n(\tau) &= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1+\tau_1 \pmod{l_1}}^{n*} \sum_{k_0=0}^{l_1^{n-1}-1} c_{k_0}^{n-1, k_1} \cdot c_{k_0+\tau_0+\lfloor (k_1+\tau_1)/l_1 \rfloor}^{n-1, k_1+\tau_1 \pmod{l_1}*} \\
&= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1+\tau_1 \pmod{l_1}}^{n*} \\
&\quad \cdot R_{k_1, k_1+\tau_1 \pmod{l_1}}^{n-1}(\tau_0 + \lfloor (k_1 + \tau_1)/l_1 \rfloor)
\end{aligned} \quad (30)$$

From the formulas (27) and (30), if $\tau_1 = \tau_0 = 0$,

$$\begin{aligned}
R_{i_0, i_1}^n(\tau) &= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1}^{n*} \cdot R_{k_1, k_1}^{n-1}(0) \\
&= l \cdot l_1^{n-1} \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1}^{n*} = \begin{cases} l \cdot l_1^n & (i_0 = i_1) \\ 0 & \text{otherwise} \end{cases}
\end{aligned} \quad (31)$$

If $\tau_1 = 0$ and $0 < \tau_0 \leq (l-2) \cdot l_1^{n-2}$,

$$R_{i_0, i_1}^n(\tau) = \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1}^{n*} \cdot R_{k_1, k_1}^{n-1}(\tau_0) = 0. \quad (32)$$

Similarly, if $\tau_1 = 0$ and $l \cdot l_1^{n-1} - (l-2) \cdot l_1^{n-2} \leq \tau_0 \leq l \cdot l_1^{n-1} - 1$, $R_{i_0, i_1}^n(\tau) = 0$. On the other hand, if $\tau_1 \neq 0$ and $0 \leq \tau_0 \leq (l-2) \cdot l_1^{n-2} - 1$,

$$\begin{aligned}
R_{i_0, i_1}^n(\tau) &= \sum_{k_1=0}^{l_1-1} b_{i_0, k_1}^n \cdot b_{i_1, k_1+\tau_1 \pmod{l_1}}^{n*} \\
&\quad \cdot R_{k_1, k_1+\tau_1 \pmod{l_1}}^{n-1}(\tau_0 + \lfloor (k_1 + \tau_1)/l_1 \rfloor) \\
&= 0,
\end{aligned} \quad (33)$$

since $0 \leq \tau_0 + \lfloor (k_1 + \tau_1)/l_1 \rfloor \leq (l-2) \cdot l_1^{n-2}$. Note that $0 \leq \lfloor (k_1 + \tau_1)/l_1 \rfloor \leq 1$. Similarly, if $\tau_1 \neq 0$ and $l \cdot l_1^{n-1} - (l-2) \cdot l_1^{n-2} \leq \tau_0 \leq l \cdot l_1^{n-1} - 1$, $R_{i_0, i_1}^n(\tau) = 0$, since $l \cdot l_1^{n-1} - (l-2) \cdot l_1^{n-2} \leq \tau_0 + \lfloor (k_1 + \tau_1)/l_1 \rfloor \leq l \cdot l_1^{n-1}$ and $k_1 \neq k_1 + \tau_1 \pmod{l_1}$. Therefore, if $0 \leq \tau \leq (l-2) \cdot l_1^{n-1}$ or $l \cdot l_1^n - (l-2) \cdot l_1^{n-1} \leq \tau \leq l \cdot l_1^n - 1$,

$$R_{i_0, i_1}^n(\tau) = \begin{cases} l \cdot l_1^n & (\tau = 0 \text{ and } i_0 = i_1) \\ 0 & \text{otherwise} \end{cases} \quad (34)$$

Note that $\tau = \tau_0 \cdot l_1 + \tau_1$. The formula (34) shows that the set C_n is a ZCZ sequence set with $(L, U, T) = (l \cdot l_1^n, l_1, (l-2) \cdot l_1^{n-1})$, therefore **Theorem 1** has been proved by mathematical induction.

Note that this proof can be applied even when A_0 is any perfect sequence and B_n is any unitary matrix.

4 Conclusion

In this paper, we have proposed a new method for constructing polyphase ZCZ sequences. Also we have

given a proof that the proposed sequences are ZCZ sequences. By the proposed method, we can obtain polyphase ZCZ sequences which are not known yet.

5 Acknowledgment

The authors wish to thank Dr. Shinya Matsufuji for his discussion. This research is supported by MEXT (Ministry of Education, Culture, Sports, Science and Technology of Japan) Millennium Project.

References:

- [1] N.Suehiro, "A signal design without co-channel interference for approximately synchronized CDMA systems," IEEE J. Sel. Areas Commun., vol.12, no.5, pp.837-841, June 1994.
- [2] P.Z.Fan, N.Suehiro, N.Kuroyanagi, and X.M.Deng, "A class of binary sequences with zero correlation zone," IEE Electron. Lett., vol.35, no.10, pp.777-779, 1999.
- [3] S.Matsufuji, N.Suehiro, N.Kuroyanagi, P.Z.Fan, and K.Takatsukasa, "A binary sequence pair with zero correlation zone derived from complementary pairs," Proc. ISCTA'99, pp.223-224, 1999.
- [4] P.Z.Fan and L.Hao, "Generalized orthogonal sequences and their applications in synchronous CDMA systems," IEICE Trans. Fundamentals, vol.E83-A, no.11, pp.2054-2069, Nov. 2000.
- [5] S.Matsufuji, N.Suehiro, N.Kuroyanagi, and P.Z.Fan, "Spreading sequence sets for approximately synchronized CDMA system with no co-channel interference and high data capacity," The 2nd Int. Symp. on Wireless Personal Multimedia Communications (WPMC'99), pp.333-339, Sept. 1999.
- [6] P.Fan, M.Darnell, Sequence Design for Communications Applications, Research Studies Press Ltd., London, UK, 1996.