A Multivariable Adaptive Controller for a Class of Recycled Depollution Bioprocesses

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Abstract: - This paper presents the design and the analysis of a multivariable adaptive nonlinear control strategy for a class of depollution fermentation processes that are carried out in recycle bioreactors. The controller design is based on the input-output linearization technique. The resulted control method is applied in depollution control problem in the case of the activated sludge process for which dynamical kinetics are strongly nonlinear and not exactly known. More precisely, the problem of adaptive controlling of two reactant concentrations with two control inputs is considered and is illustrated by the mentioned process. Simulation results are included to evaluate the performances of the designed controller.

Key-Words: - Nonlinear control, Adaptive control, On-line estimation, Multivariable control, Activated sludge process.

1 Introduction

During the last years, the control of biotechnological processes has been an important problem attracting wide attention. The main engineering motivation in applying control methods to such living processes is to improve operational stability and production efficiency. But the use of modern control for these bioprocesses is still low. Two known factors make bioprocesses control particularly difficult. First, these processes exhibit large nonlinearities, strongly coupled variables and often poorly understood dynamics. Second, the real-time monitoring and on-line measurements of biological process variables, for example, biomass concentration and/or product concentrations, which are essential for control design, is hampered by the lack of cheap and reliable on-line sensors. The non-linearity of the bioprocesses and the uncertainty of kinetics impose the adaptive control strategy as a suitable approach [1], [6], [9]. So, the difficulties encountered in the measurement of the state variables of the bioprocesses impose the use of the so-called "software sensors" [1]. Note that these software sensors are used not only for the estimation of the concentrations but also for the estimation of the kinetic parameters. The interest for the development of software sensors for bioreactor is proved by the big number of the publications and applications in this area [4], [6], [9].

This paper presents the design and the analysis of a multivariable adaptive nonlinear control strategy capable of dealing with the model uncertainties in an adaptive way for a class of depollution fermentation processes that are carried out in recycle bioreactors. The controller is obtained via the input-output linearization technique [5]. The resulted control method is applied in depollution control problem in the case of a complex bioprocess, namely, the activated sludge process for which dynamical kinetics are strongly nonlinear and not exactly known. Although for this depollution bioprocess were reported some nonlinear and adaptive control algorithms [3], [7], in this paper, a new multi-variable adaptive control algorithms is proposed and analyzed. More exactly, the problem of adaptive controlling of two reactant concentrations with two control inputs is considered and is illustrated by the mentioned process. The algorithm is based on the nonlinear structure of the model. The only information required about the process is the measurements of the state variables and its relative degree. It must be noted that if for the analyzed process some state variables are not accessible, these will be estimated by using an appropriate state observer.

The paper is organized as follows. In Section 2 it is presented the dynamical model of the activated sludge process. In Section 3 firstly, it is suggested how to design a multivariable adaptive linearizing control scheme for a class of nonlinear squared systems. After that a new multivariable adaptive control strategy for mentioned process is presented. Simulations results presented in Section 4 illustrate the performances of the proposed control algorithm. Finally, Section 5 concludes the paper.

2 Dynamical Model of Activated Sludge Process

The activated sludge process is an aerobic process of biological wastewater treatment [1], [3]. It is usually operated in at least two interconnected tanks, Fig. 1: an aerator in which the biological degradation of the pollutants takes place and a sedimentation tank (settler) in which the liquid is clarified, that is the biomass is separated from the treated wastewater. Part of the settled biomass is fed back to the bioreactor, while the surplus biomass is removed from the process. The reaction in the aerator may be described by a simple autocatalytic aerobic microbial growth that can be represented by the following scheme:

$$k_1 S + k_2 C \xrightarrow{\varphi \leftarrow} X \tag{1}$$

where *S*, *X* and *C* are respectively the pollutants, the biomass and the dissolved oxygen, φ is the reaction rate and k_1 and k_2 are the yield coefficients. It is worth noting that this reaction scheme is a simply qualitative relation and does not include stoichiometric considerations.

It is often assumed that the settler work perfectly, i.e. there is no biomass in the overflow of the settler. Then, the dynamics of the plant (aerator + settler) is described by the following mass balance equations:

$$\frac{dS}{dt} = -k_1 \mu X - \frac{F_{in} + F_r}{V} S + \frac{F_{in}}{V} S_{in} \tag{2}$$

$$\frac{dC}{dt} = -k_2 \mu X - \frac{F_{in} + F_r}{V} C + Q_{in} \tag{3}$$

$$\frac{dX}{dt} = \mu X - \frac{F_{in} + F_r}{V} X + \frac{F_r}{V} X_r \tag{4}$$

$$\frac{dX_r}{dt} = \frac{F_{in} + F_r}{V_s} X - \frac{F_r + F_w}{V_s} X_r \tag{5}$$

where S_{in} (g/l) is the concentration of influent substrate, Q_{in} (g/lh) is the oxygen feed rate, X_r (g/l) is the concentration of the recycled biomass, F_{in}, F_r and F_w (l/h) are the influent, recycle and waste flow rates, respectively, V and V_s (l) are the aerator and settler volumes, and $\mu(\cdot)$ (h^{-1}) is the specific growth rate of reaction φ. If we define by $\xi = [S\ C\ X\ X_r]^T$ the state vector of the process (2)-(5), $\varphi = \mu(\cdot)X$ the reaction rate, $F = [D_{in} S_{in}\ Q_{in}\ 0\ 0]^T$ the feed rate vector, $Q = [0\ 0\ 0\ 0]^T$ the gaseous outflow rate vector and $K = [-k_1\ -k_2\ 1\ 0]^T$ the yield coefficient matrix, then the dynamical model (2)-(5) can be compactly written as:

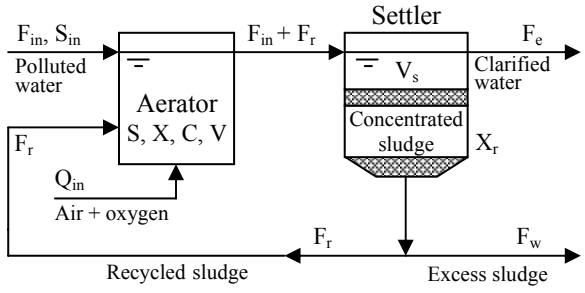

Fig. 1. Schematic view of an activated sludge process

$$\dot{\xi} = K\varphi(\xi) - D\xi + F - Q \tag{6}$$

This model describes in fact the dynamics of a large class of bioprocesses carried out in stirred tank bioreactors and is referred as *general dynamical state-space model* of this class of bioprocesses [1]. In (6), D stand for the dilution rate matrix and is given by

$$D = \begin{bmatrix} D_1 & 0 & 0 & 0 \\ 0 & D_1 & 0 & 0 \\ 0 & 0 & D_1 & -D_r \\ 0 & 0 & -D_2 & -D_3 \end{bmatrix} \tag{7}$$

whose entries are defined as:

$$D_{in} = F_{in}/V, \quad D_r = F_r/V, \quad D_1 = D_{in} + D_r,$$
$$D_2 = (F_{in} + F_r)/V_s, \quad D_3 = (F_r + F_w)/V_s \tag{8}$$

The oxygen feed rate Q_{in} is usually set equal to the liquid-gas oxygen transfer rate [2]:

$$Q_{in} = k_L a \cdot (C_s - C) \tag{9}$$

where $k_L a$ is the oxygen mass transfer coefficient and C_s the saturation constant. In the following, we shall consider that $k_L a$ is a linear function of the air flow rate *W* [3]:

$$k_L a = a_0 W, \quad a_0 > 0 \tag{10}$$

The most difficult task for the construction of the dynamical model (6) is the modelling of the reaction kinetic φ. The form of kinetics is complicated, nonlinear and in many cases partial or completely unknown. A realistic assumption is that a reaction can take place only if all reactants are presented in the bioreactor [1], [7]. Therefore, the reaction rates are necessarily zero whenever the concentration of one of reactants is zero.

3 Control Strategies

3.1 Problem statement

For the class of bioprocesses described by general dynamical model (6) we consider the problem of controlling $p \geq 2$ outputs, $p < n = \dim(\xi)$, which

are linear combinations of the process components ξ by using p control inputs under the following assumptions: (i) the p control inputs are either feed rates or dilution rates; (ii) the reaction rates φ_k are time-varying and unknown; (iii) the matrix K is known; (iv) the vectors F and Q and the matrix D are known either by measurement or by user's choice; (v) the process is minimum phase and the relative degree of all the p equations are equal to one.

Let be $y \in \Re^p$ the output vector defined as:

$$y = C\xi \tag{11}$$

where C is a $(p \times n)$ - matrix of known constants. By using (6) or an appropriately reduced order model formulation, the dynamic of output y can be written as follows:

$$\dot{y} = f(K, \varphi, \xi) + g(\xi)u = A(\xi) + \Phi^T(\xi)\theta + B(\xi)u \tag{12}$$

where $u \in \Re^p$ is the control input vector, $A(\xi) \in \Re^p$ is a state dependent vector and $B(\xi) \in \Re^{p \times p}$ is a quadratic state dependent matrix. θ is the parameter vector ($\dim(\theta) = q$) which contains the unknown kinetics and/or yield coefficients and $\Phi^T(\xi)$ is the regressor matrix ($\dim(\Phi^T) = p \times q$), whose entries are assumed to be known. Note that if all the p equations in (12) have relative degree equal to one (as it has been assumed in (v)), then the matrix $B(\xi)$ is nonsingular.

For the process (12), the *control objective* is to make outputs y track specified trajectories denoted $y^* \in \Re^p$. However, the problem is very difficult or even impossible to be solved if the vector θ is assumed to be completely unknown.

3.1.1 Exactly feedback linearizing control

Firstly, we consider the ideal case where maximum prior knowledge concerning the process is available, that is the vectors $A(\xi)$ and θ in (12) are assumed completely known and all the state variables are available for on-line measurements. Assume now that for the closed loop system (process + controller) we wish to have the following first order linear stable dynamical behavior:

$$\frac{d}{dt}(y^* - y) + \Lambda \cdot (y^* - y) = 0 \tag{13}$$

with $\Lambda = diag\{\lambda_i\}, \lambda_i > 0,\ i = 1,..., p$. Then, from (12) and (13) we obtain the following *multivariable decoupling feedback linearizing control law*:

$$u = B(\xi)^{-1}\left[\Lambda(y^* - y) - A(\xi) - \Phi^T(\xi)\theta + \dot{y}^*\right] \tag{14}$$

The control law (14) leads to a linear error model as $\dot{e}_t = -\Lambda e_t$, where $e_t = y^* - y$ represents the tracking error. It is clear that if $\lambda_i > 0, i = 1,..., p$, the error model has an exponential stable point at $e_t = 0$. Since the order of this error system is $p < n$, then $n - p$ other system states are associated with the zero dynamics [5], [10]. These correspond to unobservable modes. It has been shown [11] that if the zero dynamics are asymptotically stable, then the system (6) will be feedback stabilizable by an input-output linearizing law of the form (14). A nonlinear system with (un)stable zero dynamics is said to be (non)minimum phase. Usually, we can obtain conditions on the stability of the zero dynamics from the zeros of the linear tangent model transfer function derived at some equilibrium points [3].

3.1.2 Adaptive control

If the parameter vector θ in (12) is assumed to be unknown, in the linearizing control law (14) it will be replaced by its corresponding on-line estimate, yielding the following *adaptive control law*:

$$u = B(\xi)^{-1}\left[\Lambda(y^* - y) - A(\xi) - \Phi^T(\xi)\hat{\theta} + \dot{y}^*\right] \tag{15}$$

Since in (12) the unknown parameter appears linearly, the on-line estimate of θ can be performed by using appropriately techniques. It must be noted also that if in (14) appear state variables that are not accessible, these will be replaced either by some auxiliary variables or by their estimates performed by using an appropriately state observer.

3.2 Adaptive control strategies of activated sludge process

For the activated sludge process, the main *control objective* is to maintain the wastewater degradation at a desired level despite load variations and substrate concentration variations. But in any aerobic fermentation process, a proper aeration is crucial to process efficiency; at a result an adequate control of dissolved oxygen concentration in aerator is very important. Then the *controlled variables* are concentrations of pollutant S and dissolved oxygen C inside the aerator, that is $y = [S \ \ C]^T$. Regarding the input control variables it could be analyzed two cases.

(i). The case when the manipulated variables are the influent flow F_{in} and the air flow rate W, that is $u = [F_{in} \ \ W]^T$, while the recycle flow rate F_r is maintained constant.

(ii). The case when both the flow rate and concentration of influent substrate are variable, the manipulated variables being now the recycle flow rate F_r and the air flow rate W, that is $u = [F_r \ \ W]^T$.

Consequently, in both cases, we have a multivariable control problem with two inputs and two outputs. Since the first case is not realistic, in the following we will analyse only the second case when the input and output vectors are $u=[F_r\ W]^T$ and $y=[S\ C]^T$, respectively.

From the model (2)-(5) it can be seen that the relative degrees of both controlled variables S and C, respectively, are equal to one. Then, the expressions of $A(\xi), \Phi^T(\xi)$, θ and $B(\xi)$ in (12) are readily obtained from model (2)-(5) and (7) as:

$$A(\xi)=\begin{bmatrix} D_{in}(S_{in}-S) \\ -D_{in}C \end{bmatrix},\ \Phi^T(\xi)=\begin{bmatrix} -k_1 \\ -k_2 \end{bmatrix},\ \theta=\mu X,$$
$$B(\xi)=\begin{bmatrix} -S/V & 0 \\ -C/V & a_0(C_s-C) \end{bmatrix} \tag{16}$$

The matrix $B(\xi)$ is nonsingular and so invertible as long as S and C_s-C are different from zero. Note that these conditions are satisfied in a normal operation of the bioreactor.

For this process we consider that the specific growth rate μ is a Monod-type model, i.e. [7]:

$$\mu(S,C)=\mu_{\max}\frac{S}{K_S+S}\cdot\frac{C}{K_C+C} \tag{17}$$

In the ideal case when the process is completely known, it can be shown, after long but rather straightforward calculations applied to linear approximations of the model (2)-(5) that, the process (2)-(5) is minimum phase. Under these conditions, the *exactly linearizing controller* (14) with $y^*=[S^*\ C^*]^T$ is particularized as follows:

$$u=B(\xi)^{-1}\left[\Lambda(y^*-y)-A_2(\xi)-\Phi^T(\xi)\theta+\dot{y}^*\right],\ \text{i.e.}$$
$$\begin{bmatrix} F_r \\ W \end{bmatrix}=\begin{bmatrix} -S/V & 0 \\ -C/V & a_0(C_s-C) \end{bmatrix}^{-1}\left(\begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}\begin{bmatrix} S^*-S \\ C^*-C \end{bmatrix}-\begin{bmatrix} D_{in}(S_{in}-S) \\ -D_{in}C \end{bmatrix}+\begin{bmatrix} k_1\mu X \\ k_2\mu X \end{bmatrix}\right) \tag{18}$$

Now we shall develop an adaptive control algorithm under the following realistic conditions: the specific growth rate $\mu(\cdot)$ is time-varying and unknown, the concentrations of biomasses X and X_r are not accessible, and the only measurements available on-line are: the output pollution level, the dissolved oxygen concentration and the influent substrate concentration S_{in}. Under these conditions an *adaptive controller* is obtained as follows.

The unmeasured variables X and X_r can be estimated by using an asymptotic state observer [2]. For that, let us define the auxiliary variables z_1, z_2 and z_3 as follows:

$$z_1=k_1X+S,\ z_2=k_2X+C,\ z_3=X_r \tag{19}$$

whose dynamics derived from model (2)-(5) and (7) are independent of the unknown kinetics:

$$\frac{d}{dt}\begin{bmatrix} \hat{z}_1 \\ \hat{z}_2 \\ \hat{z}_3 \end{bmatrix}=\begin{bmatrix} -D_1 & 0 & k_1D_r \\ 0 & -D_1 & k_2D_r \\ 0 & D_2/k_2 & -D_3 \end{bmatrix}\begin{bmatrix} \hat{z}_1 \\ \hat{z}_2 \\ \hat{z}_3 \end{bmatrix}+\begin{bmatrix} D_{in}S_{in} \\ a_0W(C_s-C) \\ -D_2C/k_2 \end{bmatrix} \tag{20}$$

Then, from (19) and (20) the estimates of X and X_r are given by:

$$\hat{X}=(\hat{z}_2-C)/k_2,\ \ \hat{X}_r=\hat{z}_3 \tag{21}$$

The unknown specific growth rate μ in (17) can be rewritten as follows:

$$\mu(S,C)=\theta\cdot SC \tag{22}$$

where θ is an unknown function depending of the process components which will be on-line estimated by using an appropriately parameter estimator. Note that, for our example:

$$\theta=\mu_{\max}\frac{1}{K_S+S}\cdot\frac{1}{K_C+C} \tag{23}$$

which are positive functions of S and C.

Using (20), (21) and (22), the *adaptive* version of the controller (18) is given by:

$$\begin{bmatrix} F_r \\ W \end{bmatrix}=\begin{bmatrix} -S/V & 0 \\ -C/V & a_0(C_s-C) \end{bmatrix}^{-1}\left(\begin{bmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{bmatrix}\begin{bmatrix} S^*-S \\ C^*-C \end{bmatrix}-\begin{bmatrix} D_{in}(S_{in}-S) \\ -D_{in}C \end{bmatrix}+\begin{bmatrix} SC(\hat{z}_1-S)\hat{\theta} \\ SC(\hat{z}_2-C)\hat{\theta} \end{bmatrix}\right) \tag{24}$$

In (24) the variables $\hat{z}_1$ and $\hat{z}_2$ are calculated via equations (20) and $\hat{\theta}$ is updated by using an observer-based parameter estimator [6] that here is particularized as follows:

$$\dot{\hat{S}}=-SC(\hat{z}_1-S)\hat{\theta}+D_{in}(S_{in}-S)-D_rS+\omega_1(S-\hat{S}) \tag{25}$$

$$\dot{\hat{C}}=-SC(\hat{z}_2-C)\hat{\theta}-D_{in}C-D_rC+a_0W(C_s-C)+\omega_2(C-\hat{C}) \tag{26}$$

$$\dot{\hat{\theta}}=-\gamma_1SC(\hat{z}_1-S)(S-\hat{S})-\gamma_2SC(\hat{z}_2-C)(C-\hat{C}) \tag{27}$$

where $\omega_1, \omega_2, \gamma_1$ and γ_2 are positive design parameters to control the stability and convergence of the estimator (see [6], for stability and convergence properties).

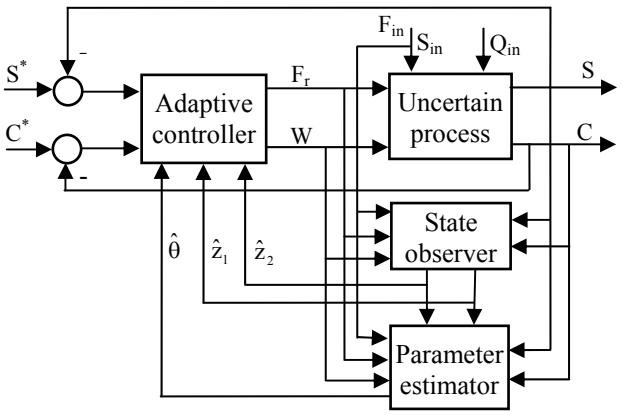

Fig. 2. Bloc diagram of the designed adaptive system

A bloc diagram of the designed multivariable adaptive system, is shown in Fig. 2.

4 Simulation Results

The performances of the designed multivariable adaptive controller have been tested by performing extensive simulation experiments. The numerical values of the kinetic parameters are [7]: $\mu_{max}^0 = 0.2$ h^{-1}, $K_S = 75$ mg/l, $K_C = 2$ mg/l, and the model parameters are: $k_1 = 1.2$, $k_2 = 0.565$, $a_0 = 0.017$ m^{-3}, $C_s = 10$ mg/l, $V = 380$ m^3, $V_s = 256$ m^3. The gains and the tuning parameters of the two adaptive controllers have been set to the following values: $\lambda_1 = 25$, $\lambda_2 = 7.5$, $\omega_1 = \omega_2 = -50$, $\gamma_1 = \gamma_2 = 2.0e\text{-}6$. The auxiliary variables z_1, z_2, z_3 and the estimated parameter θ have been initialized as follows: $\hat{z}_1 = 9.6$ g/l, $\hat{z}_2 = 4.5$ g/l, $\hat{z}_3 = 90$ g/l, $\hat{\theta} = 7.5e\text{-}4$.

The behavior of closed-loop system using multivariable adaptive controller (24) by comparison to the exactly linearizing control law (17) is presented in Figs. 4a-4d. The system's behavior was analyzed assuming that the pollutant concentration S_{in} acts as a perturbation of the form presented in Fig. 3, and the kinetic coefficient μ_{max} is time-varying as $\mu_{max}(t) = \mu_{max}^0(1 - 0.05\sin(\pi t/11))$.

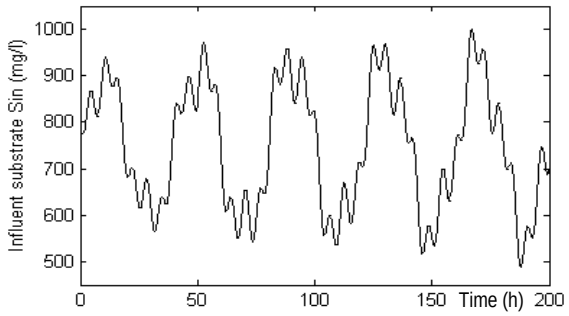

Fig. 3. Variation of perturbation S_{in}

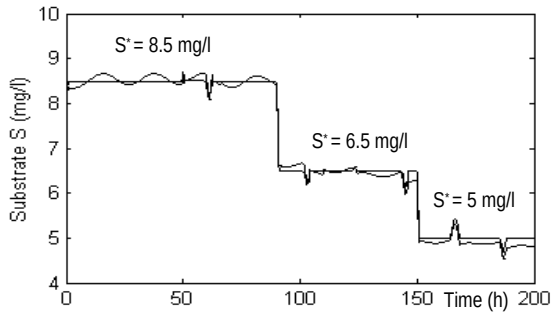

Fig. 4a. Controlled output S

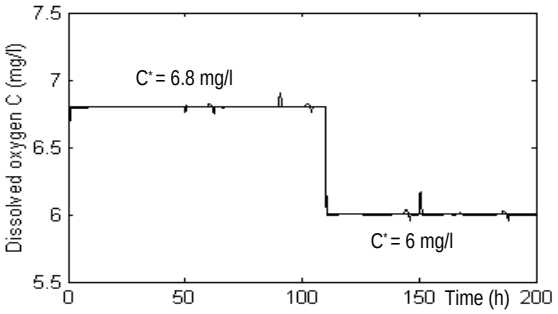

Fig. 4b. Controlled output C

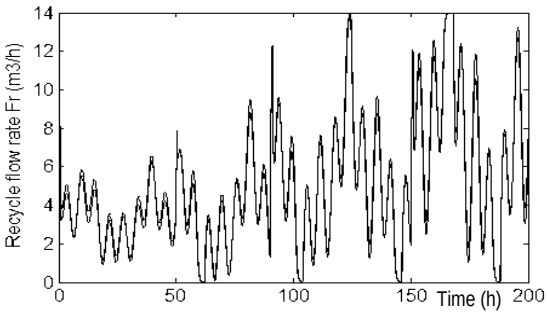

Fig. 4c. Control input F_r

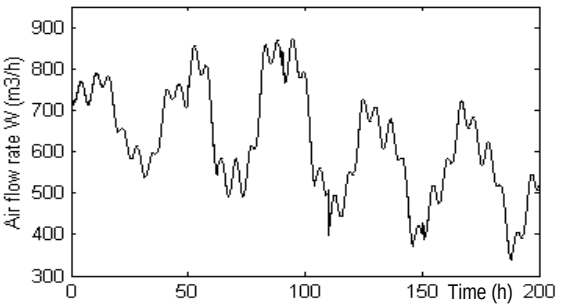

Fig. 4d. Control input W

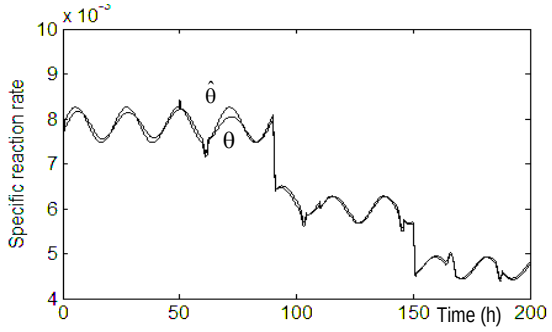

Fig. 4e. Actual and estimated parameter $\hat{\theta}$

Much more, the influent flow rate F_{in} (m^3/h) is also time varying as:

$$F_{in}(t) = 40(1 - 0.025\sin(\pi t/80) + 0.025\cos(\pi t/10))$$

The graphics in Fig. 3e shows the evolution of actual time-varying specific growth rate θ and its estimate $\hat{\theta}$.

From graphics in Figs. 4a-4d it can be seen that the behavior of adaptive system is good, being very close to the behavior of closed loop system in the ideal case when the process model in completely known. Note also the regulation properties and the ability of the adaptive controller (24) to maintain the pollutant (controlled output) S at a very low level ($S^* = 5$ mg/l) despite the very high load variations, both for S_{in} and F_{in}, and the time variation of the process parameters.

One can observe also a good behavior both of the proposed state observer (20), (21) and parameter estimator (25)-(27).

5 Conclusions

A multivariable adaptive nonlinear control strategy have been designed and analyzed for a class of depollution fermentation processes that are carried out in recycle bioreactors. The approach has been illustrated on the activated sludge process. Since, in most situations, the kinetic parameters are uncertain and time varying and the process non-linearities are not exactly known and, much more, not all the state variables are on-line measurable, it can be concluded that adaptive controllers are the only viable alternative. The simulation results of applications of the designed multivariable adaptive controller confirm the efficiency of the control scheme.

6 Acknowledgement

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